

TEXAS ETHICS COMMISSION

Mailing Address:

P.O. Box 12070, Capitol Station
Austin, Texas 78711-2070
512-463-5800



Street Address:

201 E. 14th St., 10th Floor
Austin, Texas 78701
www.ethics.state.tx.us

TO: Current Officeholders Required to File a Personal Financial Statement

FROM: Sue Edwards, Disclosure Filings Division

DATE: April 1, 2019

RE: Personal Financial Statement due April 30, 2019 – Must be Filed Electronically

Your 2019 personal financial statement (Form PFS) is due no later than **April 30, 2019**. This report must cover the calendar year ending December 31, 2018. This report must be filed electronically using the PFS electronic filing application; the law does not provide any exceptions. You are receiving this notice because our records indicate you are required to file this report. If you think you received this notice in error, you should contact our office immediately.

When you log into the filing application, remember to use the **“Select Filer Type”** pull-down menu to select **“PFS-(PFS)-Personal Financial Statement/State Officer”** at the top of the pull-down list:

A screenshot of the Texas Ethics Commission Filer Login page. The page title is "Texas Ethics Commission Filer Login". Below the title, there is a message: "You must log in to proceed. Enter your login information below and press Login." There are three input fields: "Filer ID:" with a text box containing "Enter your Filer ID here" and a link "Contact TEC for a TEC Filer ID"; "Password:" with a text box containing "Enter your password here" and a link "Forgot Password?"; and "Select Filer Type:" with a dropdown menu. The dropdown menu is open, showing a list of options: "Select Filer Type", "PFS - (PFS) - Personal Financial Statement/State Officer", "COH - (COH) - Candidate/Officeholder (Non-judicial)", "SPAC - (SPAC) - Specific-Purpose Committee (Non-judicial)", and "JCOH - (JCOH) - Judicial Candidate/Officeholder". The "PFS - (PFS) - Personal Financial Statement/State Officer" option is highlighted in blue and circled in red.

If this is your first time filing your PFS report with the TEC filing application:

- (1) View the instructional videos at www.ethics.state.tx.us/whatsnew/elf_info_pfs.htm, and
- (2) Submit Form SECURITY-PFS to establish a primary email address and receive a password setup link. **You must submit a new Form SECURITY-PFS to file a PFS even if you previously submitted Form SECURITY-CF to file campaign finance reports.** Form SECURITY-PFS can be found on our website at www.ethics.state.tx.us/forms/security-pfs.pdf. Your completed form may be emailed to formsecurity@ethics.state.tx.us, mailed to the Texas Ethics Commission, P.O. Box 12070, Austin, TX 78701-2070, or faxed to 512-463-8808, or hand-delivered to 201 E. 14th St., Sam Houston Building, 10th Floor, Austin, Texas 78701.
- (3) After you submit your completed Form SECURITY-PFS, watch your email in-box. TEC will send an email to your primary email address with your Filer ID, Filer Type, and a link to set up your password and security questions and answers. *Note:* Your password must contain one special character (special characters include !@#\$\$%^&*), and one lowercase letter, and must be at least eight characters long. If you forget your password, you will be required to answer all security questions exactly to reset your

password. When setting up your security answers, remember that your entry will not be case-sensitive, but spacing and punctuation matter.

Filing Deadline: For a PFS to be timely filed, it must be filed *electronically* by midnight, Central Time Zone, on the night of the filing deadline. **There are no exceptions.**

Late Filing Penalties: Under the laws and rules enforced by the Texas Ethics Commission, the minimum civil penalty for the PFS is \$500, but may increase up to \$10,000 if the report is filed more than 30 days late. Additional criminal penalties may apply. If you fail to file the PFS, our office may file a sworn complaint of the violation with the appropriate prosecuting attorney.

Extension Requests: Beginning March 1, you may request an extension to the April 30th filing deadline. The deadline for filing your extension request is April 30th. To request an extension, you will need to log into the PFS filing application, verify your profile information, then select “Manage My Reports.” Click on “Apply for Extension.” You will then see a message on your screen that your extension request has been granted. You will also receive an email at your primary email address on file stating that your extension request has been granted. Once your extension is granted, your new filing deadline will be July 1, 2019, extended due to weekend.

Common Questions: For a review of frequently asked questions about filing requirements, to watch short videos on how to log into the PFS filing application, and to access the PFS filing application, go to https://www.ethics.state.tx.us/whatsnew/elf_info_pfs.htm on our website.