# CONFLICT OF INTEREST NOTICE TO CLIENT BY LOBBYIST

## NAME OF LOBBY REGISTRANT

#### NAME OF NOTIFIED CLIENT

Not later than the second business day after the date a lobby registrant becomes aware of a conflict as described below, the registrant must provide written notice on this form to each affected client.

A lobby registrant must provide this notice if any of the following circumstances exists:

1. The registrant's representation of a client involves a substantially related matter in which that client's interests are materially and directly adverse to the interests of another client of the registrant.

2. The registrant's representation of a client involves a substantially related matter in which that client's interests are materially and directly adverse to the interests of an employer or concern employing the registrant.

3. The registrant's representation of a client involves a substantially related matter in which that client's interests are materially and directly adverse to the interests of another client of a person associated with the registrant.

4. The registrant's representation of a client reasonably appears to be adversely limited by the registrant's, the employer's or concern's, or the other associated person's responsibilities to another client.

5. The registrant's representation of a client reasonably appears to be adversely limited by the registrant's, or employer's or concern's, own interest, or other associated person's own business interests.

A registrant may represent a client in any of the circumstances described above only if (A) or (B) below applies:

(A) The registrant reasonably believes the representation of each client will not be materially affected, the registrant provides notice of the circumstances on this form to each affected or potentially affected client, and the registrant files a conflict of interest statement with the Texas Ethics Commission; or

(B) The registrant, without regard to whether the registrant reasonably believes the representation of each client will be materially affected: (a) provides notice of the circumstances on this form to each affected or potentially affected client, (b) files a conflict of interest statement with the Texas Ethics Commission, and, (c) after the registrant provides the written notice, each affected client of the registrant consents to the conflict and grants the registrant permission to continue the representation.

The following definitions apply for purposes of the conflict of interest provisions:

1. "Client" means a person or entity for which the registrant is registered or is required to be registered.

2. "Matter" means the subject matters for which a registrant has been reimbursed, retained, or employed by a client to communicate directly with a member of the legislative or executive branch.

3. "Person associated with the registrant" or "other associated person" means a partner or other person professionally associated with the registrant through a common business entity, other than a client, that reimburses, retains, or employs the registrant.

#### COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY

### TO BE COMPLETED BY THE LOBBY REGISTRANT:

Use the space below to describe the circumstances that require you to provide this notice. Attach additional sheets if necessary.

Lobby Registrant's Signature

PLEASE PRINT OR TYPE THE FOLLOWING INFORMATION:

Lobby Registrant's Name

Lobby Registrant's Business Address

Lobby Registrant's Business Telephone Number(s)

If you have any questions, please contact the Texas Ethics Commission Legal Division at (512) 463-5800.

COPY AND ATTACH ADDITIONAL PAGES AS NECESSARY