

**Operating Budget  
for Fiscal Year 2018**

**Submitted to the  
Governor's Office of Budget, Planning and Policy  
and the Legislative Budget Board**

**By**

**Texas Ethics Commission**

**December 1, 2017**



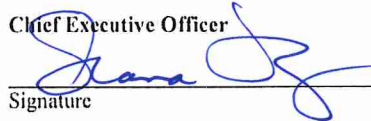
CERTIFICATE

TEXAS ETHICS COMMISSION

This is to certify that the information contained in the agency operating budget filed with the Legislative Budget Board (LBB) and the Governor's Office of Budget, Planning and Policy (GOBPP) is accurate to the best of my knowledge and that the electronic submission to the LBB via the Automated Budget and Evaluation System of Texas (ABEST) and the PDF file submitted via the LBB Document Submission application are identical.

Additionally, should it become likely at any time that unexpended balances will accrue for any account, the LBB and the GOBPP will be notified in writing in accordance with Senate Bill 1, Article IX, Section 7.01, Eighty-fifth Legislature, Regular Session 2017.

Chief Executive Officer

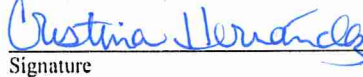
  
Signature

Seana Willing  
Printed Name

Executive Director  
Title

November 30, 2017  
Date

Chief Financial Officer

  
Signature

Cristina Hernández  
Printed Name

Commission Chair

  
Signature

Steven D. Wolens  
Printed Name

Chair  
Title

November 30, 2017  
Date

Director of Finance and Administration  
Title

December 1, 2017  
Date

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**Budget Overview**  
**85th Regular Session, Fiscal Year 2018 Operating Budget**  
Automated Budget and Evaluation System of Texas (ABEST)

356 Texas Ethics Commission  
Appropriation Years: 2018-19

	GENERAL REVENUE FUNDS				OTHER FUNDS				ALL FUNDS	
	2017	2018	2017	2018	2017	2018	2017	2018	2017	2018
<b>Goal: 1. Administer Public Disclosure/Ethics Laws</b>										
1.1.1. Disclosure Filing	307,248	373,518					16,399	8,190	323,647	381,708
1.1.2. Legal Guidance And Opinions	409,312	388,964							409,312	388,964
1.1.3. Enforcement	823,421	879,984							823,421	879,984
<b>Total, Goal</b>	<b>1,539,981</b>	<b>1,642,466</b>					<b>16,399</b>	<b>8,190</b>	<b>1,556,380</b>	<b>1,650,656</b>
<b>Goal: 2. Indirect Administration</b>										
2.1.1. Central Administration	357,046	355,826							357,046	355,826
2.1.2. Information Resources	817,674	937,456							817,674	937,456
<b>Total, Goal</b>	<b>1,174,720</b>	<b>1,293,282</b>							<b>1,174,720</b>	<b>1,293,282</b>
<b>Total, Agency</b>	<b>2,714,701</b>	<b>2,935,748</b>					<b>16,399</b>	<b>8,190</b>	<b>2,731,100</b>	<b>2,943,938</b>
<b>Total FTEs</b>									<b>27.7</b>	<b>32.4</b>

2.A. Summary of Budget By Strategy

DATE : 11/27/2017

TIME : 1:40:33PM

85th Regular Session, Fiscal Year 2018 Operating Budget  
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: 356 Agency name: Texas Ethics Commission

Goal/Objective/STRATEGY	EXP 2016	EXP 2017	BUD 2018
<b>1</b> Administer Public Disclosure/Ethics Laws			
<b>1</b> <i>Access Required Reports; Respond to Advisory Reqs &amp; Sworn Complaints</i>			
<b>1</b> DISCLOSURE FILING	\$351,761	\$323,647	\$381,708
<b>2</b> LEGAL GUIDANCE AND OPINIONS	\$458,440	\$409,312	\$388,964
<b>3</b> ENFORCEMENT	\$552,709	\$823,421	\$879,984
<b>TOTAL, GOAL 1</b>	<b>\$1,362,910</b>	<b>\$1,556,380</b>	<b>\$1,650,656</b>
<b>2</b> Indirect Administration			
<b>1</b> <i>Indirect Administration</i>			
<b>1</b> CENTRAL ADMINISTRATION	\$341,602	\$357,046	\$355,826
<b>2</b> INFORMATION RESOURCES	\$760,145	\$817,674	\$937,456
<b>TOTAL, GOAL 2</b>	<b>\$1,101,747</b>	<b>\$1,174,720</b>	<b>\$1,293,282</b>

**2.A. Summary of Budget By Strategy**

DATE : 11/27/2017

TIME : 1:40:33PM

85th Regular Session, Fiscal Year 2018 Operating Budget  
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: 356 Agency name: Texas Ethics Commission

<b>Goal/Objective/STRATEGY</b>	<b>EXP 2016</b>	<b>EXP 2017</b>	<b>BUD 2018</b>
<b>General Revenue Funds:</b>			
1 General Revenue Fund	\$2,455,506	\$2,714,701	\$2,935,748
	<b>\$2,455,506</b>	<b>\$2,714,701</b>	<b>\$2,935,748</b>
<b>Other Funds:</b>			
666 Appropriated Receipts	\$9,151	\$16,399	\$8,190
	<b>\$9,151</b>	<b>\$16,399</b>	<b>\$8,190</b>
<b>TOTAL, METHOD OF FINANCING</b>	<b>\$2,464,657</b>	<b>\$2,731,100</b>	<b>\$2,943,938</b>
<b>FULL TIME EQUIVALENT POSITIONS</b>	<b>29.7</b>	<b>27.7</b>	<b>32.4</b>



**2.B. Summary of Budget By Method of Finance**  
 85th Regular Session, Fiscal Year 2018 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **11/27/2017**  
 TIME: **1:41:31PM**

Agency code: **356** Agency name: **Texas Ethics Commission**

METHOD OF FINANCING	Exp 2016	Exp 2017	Bud 2018
Regular Appropriations from MOF Table (2018-20149 GAA)	\$0	\$0	\$8,190
<b>Comments:</b> Appropriated Receipts			
<i>BASE ADJUSTMENT</i>			
Art IX, SEc 8.03 Excess Collected Revenue Posted	\$961	\$8,209	\$0
<b>Comments:</b> Excess Collected revenue for copy orders			
<b>TOTAL, Appropriated Receipts</b>	<b>\$9,151</b>	<b>\$16,399</b>	<b>\$8,190</b>
<b>TOTAL, ALL OTHER FUNDS</b>	<b>\$9,151</b>	<b>\$16,399</b>	<b>\$8,190</b>
<b>GRAND TOTAL</b>	<b>\$2,464,657</b>	<b>\$2,731,100</b>	<b>\$2,943,938</b>

**FULL-TIME-EQUIVALENT POSITIONS**

REGULAR APPROPRIATIONS

Regular Appropriations from MOF Table (2016-2017 GAA)	33.4	33.4	0.0
Regular Appropriations from MOF Table (2018-2019 GAA)	0.0	0.0	32.4

**Comments:** As part of 4% reduction agency lost one FTE in FY 2018-2019

UNAUTHORIZED NUMBER OVER (BELOW) CAP

Unauthorized Number over (Below) cap 2016-2017 GAA	(3.7)	(5.7)	0.0
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<b>TOTAL, ADJUSTED FTES</b>	<b>29.7</b>	<b>27.7</b>	<b>32.4</b>
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**2.B. Summary of Budget By Method of Finance**  
85th Regular Session, Fiscal Year 2018 Operating Budget  
Automated Budget and Evaluation System of Texas (ABEST)

DATE: **11/27/2017**  
TIME: **1:41:31PM**

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Agency code: **356**

Agency name: **Texas Ethics Commission**

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**METHOD OF FINANCING**

**Exp 2016**

**Exp 2017**

**Bud 2018**

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**NUMBER OF 100% FEDERALLY FUNDED FTEs**

2.C. Summary of Budget By Object of Expense  
85th Regular Session, Fiscal Year 2018 Operating Budget  
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/27/2017  
TIME: 1:42:39PM

Agency code: 356

Agency name: Texas Ethics Commission

OBJECT OF EXPENSE	EXP 2016	EXP 2017	BUD 2018
1001 SALARIES AND WAGES	\$1,756,506	\$1,719,671	\$1,845,428
1002 OTHER PERSONNEL COSTS	\$109,414	\$205,792	\$156,855
2001 PROFESSIONAL FEES AND SERVICES	\$137,612	\$199,781	\$330,780
2003 CONSUMABLE SUPPLIES	\$10,461	\$9,072	\$8,200
2004 UTILITIES	\$1,678	\$2,546	\$1,470
2005 TRAVEL	\$24,636	\$27,018	\$12,863
2006 RENT - BUILDING	\$4,632	\$797	\$2,870
2007 RENT - MACHINE AND OTHER	\$11,646	\$12,544	\$40,279
2009 OTHER OPERATING EXPENSE	\$408,072	\$553,879	\$545,193
<b>Agency Total</b>	<b>\$2,464,657</b>	<b>\$2,731,100</b>	<b>\$2,943,938</b>

**2.D. Summary of Budget By Objective Outcomes**  
 85th Regular Session, Fiscal Year 2018 Operating Budget  
 Automated Budget and Evaluation system of Texas (ABEST)

Date : 11/27/2017  
 Time: 1:43:36PM

Agency code: 356                      Agency name: Texas Ethics Commission

Goal/ Objective / OUTCOME	Exp 2016	Exp 2017	Bud2018
1 Administer Public Disclosure/Ethics Laws			
<i>1 Access Required Reports; Respond to Advisory Reqs &amp; Sworn Complaints</i>			
<b>1 Percent of Reports Available for Public Inspection within Two Days</b>	99.98 %	99.99 %	100.00 %
<b>2 % of Sworn Complaints Processed within Five Working Days After Filing</b>	100.00 %	100.00 %	100.00 %
<b>KEY 3 Percent of Advisory Opinion Requests Answered within 60 Days</b>	80.00 %	100.00 %	90.00 %

3.A. Strategy Level Detail

DATE: 11/27/2017

TIME: 1:44:57PM

85th Regular Session, Fiscal Year 2018 Operating Budget  
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **356** Agency name: **Texas Ethics Commission**

GOAL: 1 Administer Public Disclosure/Ethics Laws

OBJECTIVE: 1 Access Required Reports; Respond to Advisory Reqs & Sworn Complaints

Service Categories:

STRATEGY: 1 Serve as the Repository for Statutorily Required Information

Service: 02 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2016	EXP 2017	BUD 2018
<b>Output Measures:</b>				
KEY 1	Number of Reports Logged within Two Working Days of Receipt	91,062.00	117,788.00	125,000.00
2	Number of Reports Received and Processed	91,082.00	117,794.00	125,000.00
<b>Explanatory/Input Measures:</b>				
1	Number of Inquiries for Information	913,537.00	1,065,386.00	1,065,386.00
2	Number of Lobbyists Registered with the Commission	1,649.00	1,916.00	1,650.00
3	# of Public Officials Required to File Reports with the Commission	2,853.00	2,736.00	2,850.00
4	Number of Reports Due Filed Electronically	90,563.00	117,390.00	124,425.00
<b>Objects of Expense:</b>				
1001	SALARIES AND WAGES	\$279,983	\$253,723	\$304,216
1002	OTHER PERSONNEL COSTS	\$25,700	\$26,448	\$37,766
2001	PROFESSIONAL FEES AND SERVICES	\$150	\$150	\$150
2003	CONSUMABLE SUPPLIES	\$3,254	\$2,470	\$2,600
2004	UTILITIES	\$0	\$254	\$0
2005	TRAVEL	\$0	\$0	\$0
2006	RENT - BUILDING	\$318	\$449	\$500
2007	RENT - MACHINE AND OTHER	\$6,449	\$6,916	\$7,000
2009	OTHER OPERATING EXPENSE	\$35,907	\$33,237	\$29,476
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$351,761</b>	<b>\$323,647</b>	<b>\$381,708</b>
<b>Method of Financing:</b>				
1	General Revenue Fund	\$342,610	\$307,248	\$373,518
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>		<b>\$342,610</b>	<b>\$307,248</b>	<b>\$373,518</b>

Method of Financing:

**3.A. Strategy Level Detail**

DATE: 11/27/2017

TIME: 1:44:57PM

85th Regular Session, Fiscal Year 2018 Operating Budget  
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **356** Agency name: **Texas Ethics Commission**

GOAL: 1 Administer Public Disclosure/Ethics Laws

OBJECTIVE: 1 Access Required Reports; Respond to Advisory Reqs & Sworn Complaints

Service Categories:

STRATEGY: 1 Serve as the Repository for Statutorily Required Information

Service: 02 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2016	EXP 2017	BUD 2018
666	Appropriated Receipts	\$9,151	\$16,399	\$8,190
<b>SUBTOTAL, MOF (OTHER FUNDS)</b>		<b>\$9,151</b>	<b>\$16,399</b>	<b>\$8,190</b>
<b>TOTAL, METHOD OF FINANCE :</b>		<b>\$351,761</b>	<b>\$323,647</b>	<b>\$381,708</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>		<b>7.0</b>	<b>6.2</b>	<b>7.0</b>

3.A. Strategy Level Detail

DATE: 11/27/2017

TIME: 1:44:57PM

85th Regular Session, Fiscal Year 2018 Operating Budget  
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **356** Agency name: **Texas Ethics Commission**

GOAL: 1 Administer Public Disclosure/Ethics Laws

OBJECTIVE: 1 Access Required Reports; Respond to Advisory Reqs & Sworn Complaints

STRATEGY: 2 Respond to Requests for Guidance/Advisory Opinions

Service Categories:

Service: 01 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2016	EXP 2017	BUD 2018
<b>Output Measures:</b>				
1	Number of Advisory Opinions Adopted by the Commission	11.00	5.00	5.00
<b>Efficiency Measures:</b>				
KEY 1	Average Time (Working Days) to Answer Advisory Opinion Requests	52.13	17.00	60.00
<b>Explanatory/Input Measures:</b>				
1	Number of Information Requests Regarding Commission Administered Laws	33,753.00	18,672.00	26,212.00
2	Number of Advisory Opinion Requests Received	14.00	10.00	10.00
<b>Objects of Expense:</b>				
1001	SALARIES AND WAGES	\$382,649	\$349,891	\$381,244
1002	OTHER PERSONNEL COSTS	\$5,661	\$15,972	\$2,440
2001	PROFESSIONAL FEES AND SERVICES	\$168	\$157	\$0
2003	CONSUMABLE SUPPLIES	\$2,546	\$895	\$0
2004	UTILITIES	\$394	\$226	\$0
2005	TRAVEL	\$10,777	\$49	\$0
2006	RENT - BUILDING	\$3,153	\$120	\$0
2007	RENT - MACHINE AND OTHER	\$2,772	\$3,013	\$0
2009	OTHER OPERATING EXPENSE	\$50,320	\$38,989	\$5,280
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$458,440</b>	<b>\$409,312</b>	<b>\$388,964</b>
<b>Method of Financing:</b>				
1	General Revenue Fund	\$458,440	\$409,312	\$388,964
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>		<b>\$458,440</b>	<b>\$409,312</b>	<b>\$388,964</b>
<b>TOTAL, METHOD OF FINANCE :</b>		<b>\$458,440</b>	<b>\$409,312</b>	<b>\$388,964</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>		<b>5.1</b>	<b>3.9</b>	<b>5.8</b>

3.A. Strategy Level Detail

DATE: 11/27/2017

TIME: 1:44:57PM

85th Regular Session, Fiscal Year 2018 Operating Budget  
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **356** Agency name: **Texas Ethics Commission**

GOAL: 1 Administer Public Disclosure/Ethics Laws

OBJECTIVE: 1 Access Required Reports; Respond to Advisory Reqs & Sworn Complaints

Service Categories:

STRATEGY: 3 Respond to Complaints and Enforce Applicable Statutes

Service: 01 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2016	EXP 2017	BUD 2018
<b>Output Measures:</b>				
	1 Number of Sworn Complaints Recommended for Resolution	98.00	118.00	108.00
	2 # of Sworn Complaints Processed within Five Working Days after Filing	224.00	190.00	311.00
KEY	3 Number of Sworn Complaints Processed	224.00	190.00	311.00
	4 Number of Late Notices Sent to Filers	2,448.00	2,074.00	2,410.00
<b>Efficiency Measures:</b>				
KEY	1 Average Time (Working Days) to Respond to Sworn Complaints	4.04	4.04	4.06
	2 Average Time to Notify Late Filer of Late Report	44.00	29.00	26.00
	3 Avg Time to Notify Complainants & Respondents/Commission Determination	5.00	4.77	4.89
<b>Explanatory/Input Measures:</b>				
	1 Number of Sworn Complaints Received	224.00	190.00	311.00
	2 Percent of Filers Filing Timely Reports	94.00 %	96.00 %	94.00 %
	3 Number of Late Penalties Received	359.00	459.00	459.00
	4 Percent of Sworn Complaints Resolved within 180 Days	64.83 %	67.86 %	67.86 %
<b>Objects of Expense:</b>				
	1001 SALARIES AND WAGES	\$439,999	\$465,887	\$480,990
	1002 OTHER PERSONNEL COSTS	\$37,859	\$79,434	\$48,324
	2001 PROFESSIONAL FEES AND SERVICES	\$28,397	\$195,327	\$300,200
	2003 CONSUMABLE SUPPLIES	\$2,206	\$4,225	\$4,100
	2004 UTILITIES	\$247	\$239	\$250
	2005 TRAVEL	\$12,711	\$26,969	\$11,591
	2006 RENT - BUILDING	\$0	\$0	\$2,000
	2007 RENT - MACHINE AND OTHER	\$1,848	\$1,990	\$32,529
	2009 OTHER OPERATING EXPENSE	\$29,442	\$49,350	\$0

**3.A. Strategy Level Detail**

DATE: 11/27/2017

TIME: 1:44:57PM

85th Regular Session, Fiscal Year 2018 Operating Budget  
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **356** Agency name: **Texas Ethics Commission**

GOAL: 1 Administer Public Disclosure/Ethics Laws

OBJECTIVE: 1 Access Required Reports; Respond to Advisory Reqs & Sworn Complaints

STRATEGY: 3 Respond to Complaints and Enforce Applicable Statutes

Service Categories:

Service: 01 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2016	EXP 2017	BUD 2018
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$552,709</b>	<b>\$823,421</b>	<b>\$879,984</b>
<b>Method of Financing:</b>				
1	General Revenue Fund	\$552,709	\$823,421	\$879,984
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>		<b>\$552,709</b>	<b>\$823,421</b>	<b>\$879,984</b>
<b>TOTAL, METHOD OF FINANCE :</b>		<b>\$552,709</b>	<b>\$823,421</b>	<b>\$879,984</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>		<b>7.5</b>	<b>7.8</b>	<b>8.6</b>



3.A. Strategy Level Detail

DATE: 11/27/2017

TIME: 1:44:57PM

85th Regular Session, Fiscal Year 2018 Operating Budget  
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **356** Agency name: **Texas Ethics Commission**

GOAL: 2 Indirect Administration

OBJECTIVE: 1 Indirect Administration

STRATEGY: 1 Central Administration

Service Categories:

Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2016	EXP 2017	BUD 2018
<b>Objects of Expense:</b>				
1001	SALARIES AND WAGES	\$288,687	\$288,460	\$296,560
1002	OTHER PERSONNEL COSTS	\$30,428	\$50,030	\$39,160
2001	PROFESSIONAL FEES AND SERVICES	\$5,698	\$4,016	\$3,340
2003	CONSUMABLE SUPPLIES	\$1,816	\$952	\$1,000
2004	UTILITIES	\$617	\$1,487	\$800
2005	TRAVEL	\$0	\$0	\$1,272
2006	RENT - BUILDING	\$30	\$0	\$120
2007	RENT - MACHINE AND OTHER	\$462	\$500	\$600
2009	OTHER OPERATING EXPENSE	\$13,864	\$11,601	\$12,974
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$341,602</b>	<b>\$357,046</b>	<b>\$355,826</b>
<b>Method of Financing:</b>				
1	General Revenue Fund	\$341,602	\$357,046	\$355,826
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>		<b>\$341,602</b>	<b>\$357,046</b>	<b>\$355,826</b>
<b>TOTAL, METHOD OF FINANCE :</b>		<b>\$341,602</b>	<b>\$357,046</b>	<b>\$355,826</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>		<b>3.9</b>	<b>4.0</b>	<b>4.0</b>

3.A. Strategy Level Detail

DATE: 11/27/2017

TIME: 1:44:57PM

85th Regular Session, Fiscal Year 2018 Operating Budget  
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **356** Agency name: **Texas Ethics Commission**

GOAL: 2 Indirect Administration

OBJECTIVE: 1 Indirect Administration

STRATEGY: 2 Information Resources

Service Categories:

Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2016	EXP 2017	BUD 2018
<b>Objects of Expense:</b>				
1001	SALARIES AND WAGES	\$365,188	\$361,710	\$382,418
1002	OTHER PERSONNEL COSTS	\$9,766	\$33,908	\$29,165
2001	PROFESSIONAL FEES AND SERVICES	\$103,199	\$131	\$27,090
2003	CONSUMABLE SUPPLIES	\$639	\$530	\$500
2004	UTILITIES	\$420	\$340	\$420
2005	TRAVEL	\$1,148	\$0	\$0
2006	RENT - BUILDING	\$1,131	\$228	\$250
2007	RENT - MACHINE AND OTHER	\$115	\$125	\$150
2009	OTHER OPERATING EXPENSE	\$278,539	\$420,702	\$497,463
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$760,145</b>	<b>\$817,674</b>	<b>\$937,456</b>
<b>Method of Financing:</b>				
1	General Revenue Fund	\$760,145	\$817,674	\$937,456
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>		<b>\$760,145</b>	<b>\$817,674</b>	<b>\$937,456</b>
<b>TOTAL, METHOD OF FINANCE :</b>		<b>\$760,145</b>	<b>\$817,674</b>	<b>\$937,456</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>		<b>6.2</b>	<b>5.8</b>	<b>7.0</b>

**3.A. Strategy Level Detail**

DATE: 11/27/2017

85th Regular Session, Fiscal Year 2018 Operating Budget  
Automated Budget and Evaluation System of Texas (ABEST)

TIME: 1:44:57PM

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**SUMMARY TOTALS:**

<b>OBJECTS OF EXPENSE:</b>	<b>\$2,464,657</b>	<b>\$2,731,100</b>	<b>\$2,943,938</b>
<b>METHODS OF FINANCE :</b>	<b>\$2,464,657</b>	<b>\$2,731,100</b>	<b>\$2,943,938</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>	<b>29.7</b>	<b>27.7</b>	<b>32.4</b>

### III.B. Sub-strategy Detail

<b>Agency Code:</b>	<b>Agency Name:</b>	<b>Prepared By:</b>		
356	Texas Ethics Commission	Cristina Hernandez		
<b>AGENCY GOAL:</b> N/A				
<b>OBJECTIVE:</b>				
<b>STRATEGY:</b>				
<b>SUB-STRATEGY:</b>				
Code	Sub-strategy Detail	Expended 2016	Expended 2017	Budgeted 2018
	<b>Objects of Expense:</b>			
	<b>Total, Objects of Expense</b>	\$0	\$0	\$0
	<b>Method of Financing:</b>			
	<b>Total, Method of Financing</b>	\$0	\$0	\$0
	<b>Number of Positions (FTE)</b>			
<b>Sub-strategy Description:</b>				

### III.C. Sub-strategy Summary

<b>Agency Code:</b>	<b>Agency Name:</b>	<b>Prepared By:</b>		<b>Strategy Code:</b>
356	Texas Ethics Commission	Cristina Hernandez		
<b>AGENCY GOAL:</b> N/A				
<b>OBJECTIVE:</b>				
<b>STRATEGY:</b>				
<b>SUB-STRATEGY SUMMARY</b>				
Code	Sub-strategies	Expended 2016	Expended 2017	Budgeted 2018
	<b>Total, Sub-strategies</b>	\$0	\$0	\$0

Agency code: 356

Agency name: Texas Ethics Commission

Category Code / Category Name

*Project Sequence/Project Id/ Name*

OOE / TOF / MOF CODE

EXP 2016

EXP 2017

BUD 2018

**5005 Acquisition of Information Resource Technologies**

*1/1 Vendor Support for Electronic Filing &  
 Disclosure Database Systems*

**OBJECTS OF EXPENSE**

Informational

2001 PROFESSIONAL FEES AND SERVICES		\$100,868	\$0	\$22,890
2009 OTHER OPERATING EXPENSE		\$0	\$292,840	\$325,000
Informational Subtotal OOE, Project	1	\$100,868	\$292,840	\$347,890
Subtotal OOE, Project	1	<b>\$100,868</b>	<b>\$292,840</b>	<b>\$347,890</b>

**TYPE OF FINANCING**

Capital

CA 1 General Revenue Fund		\$0	\$0	\$0
Capital Subtotal TOF, Project	1	\$0	\$0	\$0

Informational

CA 1 General Revenue Fund		\$100,868	\$292,840	\$347,890
Informational Subtotal TOF, Project	1	\$100,868	\$292,840	\$347,890
Subtotal TOF, Project	1	<b>\$100,868</b>	<b>\$292,840</b>	<b>\$347,890</b>

*2/2 Online Video Training Modules for the State of  
 Texas Campaign Finance, Lobby, and Personal  
 Financial Statement Filers*

**OBJECTS OF EXPENSE**

Informational

1001 SALARIES AND WAGES		\$0	\$0	\$0
1002 OTHER PERSONNEL COSTS		\$0	\$0	\$0
2001 PROFESSIONAL FEES AND SERVICES		\$0	\$0	\$0
2003 CONSUMABLE SUPPLIES		\$0	\$0	\$0
2004 UTILITIES		\$0	\$0	\$0
2006 RENT - BUILDING		\$0	\$0	\$0

4.A. Capital Budget Project Schedule  
 85th Regular Session, Fiscal Year 2018 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/27/2017  
 TIME : 1:47:26PM

Agency code: 356

Agency name: Texas Ethics Commission

Category Code / Category Name

*Project Sequence/Project Id/ Name*

OOE / TOF / MOF CODE	EXP 2016	EXP 2017	BUD 2018
2007 RENT - MACHINE AND OTHER	\$0	\$0	\$0
2009 OTHER OPERATING EXPENSE	\$0	\$37,500	\$0
Informational Subtotal OOE, Project 2	\$0	\$37,500	\$0
Subtotal OOE, Project 2	<b>\$0</b>	<b>\$37,500</b>	<b>\$0</b>
<b>TYPE OF FINANCING</b>			
<u>Capital</u>			
CA 1 General Revenue Fund	\$0	\$0	\$0
Capital Subtotal TOF, Project 2	\$0	\$0	\$0
<u>Informational</u>			
CA 1 General Revenue Fund	\$0	\$37,500	\$0
Informational Subtotal TOF, Project 2	\$0	\$37,500	\$0
Subtotal TOF, Project 2	<b>\$0</b>	<b>\$37,500</b>	<b>\$0</b>
Capital Subtotal, Category 5005	\$0	\$0	\$0
Informational Subtotal, Category 5005	\$100,868	\$330,340	\$347,890
<b>Total, Category 5005</b>	<b>\$100,868</b>	<b>\$330,340</b>	<b>\$347,890</b>
<b>AGENCY TOTAL -CAPITAL</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>AGENCY TOTAL -INFORMATIONAL</b>	<b>\$100,868</b>	<b>\$330,340</b>	<b>\$347,890</b>
<b>AGENCY TOTAL</b>	<b>\$100,868</b>	<b>\$330,340</b>	<b>\$347,890</b>

4.A. Capital Budget Project Schedule  
 85th Regular Session, Fiscal Year 2018 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/27/2017  
 TIME : 1:47:26PM

Agency code: 356

Agency name: Texas Ethics Commission

Category Code / Category Name

*Project Sequence/Project Id/ Name*

OOE / TOF / MOF CODE	EXP 2016	EXP 2017	BUD 2018
<b>METHOD OF FINANCING:</b>			
<u>Capital</u>			
1 General Revenue Fund	\$0	\$0	\$0
Total, Method of Financing-Capital	\$0	\$0	\$0
<u>Informational</u>			
1 General Revenue Fund	\$100,868	\$330,340	\$347,890
Total, Method of Financing-Informational	\$100,868	\$330,340	\$347,890
<b>Total, Method of Financing</b>	<b>\$100,868</b>	<b>\$330,340</b>	<b>\$347,890</b>
<b>TYPE OF FINANCING:</b>			
<u>Capital</u>			
CA CURRENT APPROPRIATIONS	\$0	\$0	\$0
Total, Type of Financing-Capital	\$0	\$0	\$0
<u>Informational</u>			
CA CURRENT APPROPRIATIONS	\$100,868	\$330,340	\$347,890
Total, Type of Financing-Informational	\$100,868	\$330,340	\$347,890
<b>Total, Type of Financing</b>	<b>\$100,868</b>	<b>\$330,340</b>	<b>\$347,890</b>



**Capital Budget Allocation to Strategies**  
 85th Regular Session, Fiscal Year 2018 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **11/27/2017**  
 TIME: **1:48:10PM**

Agency code: **356** Agency name: **Texas Ethics Commission**

**Category Code/Name**

*Project Sequence/Project Id/Name*

<b>Goal/Obj/Str</b>	<b>Strategy Name</b>	<b>EXP 2016</b>	<b>EXP 2017</b>	<b>BUD 2018</b>
<b>5005 Acquisition of Information Resource Technologies</b>				
<i>1/1</i>	<i>Vendor Support</i>			
Informational	2-1-2 INFORMATION RESOURCES	100,868	292,840	\$347,890
	TOTAL, PROJECT	\$100,868	\$292,840	\$347,890
<i>2/2</i>	<i>Online Training Modules</i>			
Informational	2-1-2 INFORMATION RESOURCES	0	37,500	0
	TOTAL, PROJECT	\$0	\$37,500	\$0
	<b>TOTAL CAPITAL, ALL PROJECTS</b>			
	<b>TOTAL INFORMATIONAL, ALL PROJECTS</b>	<b>\$100,868</b>	<b>\$330,340</b>	<b>\$347,890</b>
	<b>TOTAL, ALL PROJECTS</b>	<b>\$100,868</b>	<b>\$330,340</b>	<b>\$347,890</b>

**4.D. Estimated Revenue Collections Supporting Schedule**  
 85th Regular Session, Fiscal Year 2018 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/27/2017  
 TIME: 1:48:57PM

Agency Code: 356

Agency name: Texas Ethics Commission

FUND/ACCOUNT	Exp 2016	Exp 2017	Bud 2018
<b>666 Appropriated Receipts</b>			
Beginning Balance (Unencumbered):	\$0	\$0	\$0
Estimated Revenue:			
3719 Fees/Copies or Filing of Records	9,151	16,399	8,190
Subtotal: Estimated Revenue	<u>9,151</u>	<u>16,399</u>	<u>8,190</u>
<b>Total Available</b>	<b><u>\$9,151</u></b>	<b><u>\$16,399</u></b>	<b><u>\$8,190</u></b>
<b>DEDUCTIONS:</b>			
Expenditures for Agency Operations	(9,151)	(16,399)	(8,190)
<b>Total, Deductions</b>	<b><u>\$(9,151)</u></b>	<b><u>\$(16,399)</u></b>	<b><u>\$(8,190)</u></b>
<b>Ending Fund/Account Balance</b>	<b><u>\$0</u></b>	<b><u>\$0</u></b>	<b><u>\$0</u></b>

**REVENUE ASSUMPTIONS:**

Appropriated receipts revenue is comprised of the amounts charged to customers for providing copies of information maintained by the agency (i.e., paper copies of reports filed with the agency or disks containing information from agency databases). The agency has no control over the number, size, or amounts of copy orders that will be requested.

The budgeted copy order revenue for FY 2018 is the LBB approved amount of \$8,190. This has been the initial amount appropriated for several years and is set at this level due to the difficulty in forecasting this revenue which varies from year to year.

**CONTACT PERSON:**

Cristina Hernandez