

**Operating Budget  
for Fiscal Year 2020**

**Submitted to the  
Governor's Office of Budget, Planning and Policy  
and the Legislative Budget Board**

**By**

**Texas Ethics Commission**

**December 1, 2019**



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## CERTIFICATE

Agency Name Texas Ethics Commission

This is to certify that the information contained in the agency operating budget filed with the Legislative Budget Board (LBB) and the Office of the Governor, Budget Division, is accurate to the best of my knowledge and that the electronic submission to the LBB via the Automated Budget and Evaluation System of Texas (ABEST) and the PDF file submitted via the LBB Document Submission application are identical.

Additionally, should it become likely at any time that unexpended balances will accrue for any account, the LBB and the Office of the Governor will be notified in writing in accordance with House Bill 1, Article IX, Section 7.01, Eighty-sixth Legislature, Regular Session, 2019.

**Chief Executive Office or Presiding Judge**

*A. T. Peters*  
Signature

Anne Temple Peters

Printed Name

Executive Director

Title

12/01/2019

Date

**Board or Commission Chair**

*Chad Craycraft*  
Signature

Chad Craycraft

Printed Name

Chair

Title

12/01/201

Date

**Chief Financial Officer**

*Cristina Hernandez*  
Signature

Cristina Hernandez

Printed Name

CFO, Director of Finance and Administration

Title

12/01/2019

Date



**Budget Overview**  
**86th Regular Session, Fiscal Year 2020 Operating Budget**  
Automated Budget and Evaluation System of Texas (ABEST)

356 Texas Ethics Commission

	GENERAL REVENUE FUNDS				OTHER FUNDS				ALL FUNDS	
	2019	2020	2019	2020	2019	2020	2019	2020	2019	2020
<b>Goal: 1. Administer Public Disclosure/Ethics Laws</b>										
1.1.1. Disclosure Filing	280,361	335,510					14,493		294,854	335,510
1.1.2. Legal Guidance And Opinions	357,645	431,982							357,645	431,982
1.1.3. Enforcement	664,471	997,895							664,471	997,895
<b>Total, Goal</b>	<b>1,302,477</b>	<b>1,765,387</b>					<b>14,493</b>		<b>1,316,970</b>	<b>1,765,387</b>
<b>Goal: 2. Indirect Administration</b>										
2.1.1. Central Administration	390,580	460,018							390,580	460,018
2.1.2. Information Resources	858,645	1,431,531							858,645	1,431,531
<b>Total, Goal</b>	<b>1,249,225</b>	<b>1,891,549</b>							<b>1,249,225</b>	<b>1,891,549</b>
<b>Total, Agency</b>	<b>2,551,702</b>	<b>3,656,936</b>					<b>14,493</b>		<b>2,566,195</b>	<b>3,656,936</b>
<b>Total FTEs</b>									<b>24.1</b>	<b>35.4</b>





2.A. Summary of Budget By Strategy

DATE: 11/15/2019

TIME: 11:23:45AM

86th Regular Session, Fiscal Year 2020 Operating Budget  
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: 356 Agency name: Texas Ethics Commission

Goal/Objective/STRATEGY	EXP 2018	EXP 2019	BUD 2020
<b>1 Administer Public Disclosure/Ethics Laws</b>			
1 <i>Access Required Reports; Respond to Advisory Reqs &amp; Sworn Complaints</i>			
1 DISCLOSURE FILING	\$300,059	\$294,854	\$335,510
2 LEGAL GUIDANCE AND OPINIONS	\$386,542	\$357,645	\$431,982
3 ENFORCEMENT	\$674,275	\$664,471	\$997,895
<b>TOTAL, GOAL 1</b>	<b>\$1,360,876</b>	<b>\$1,316,970</b>	<b>\$1,765,387</b>
<b>2 Indirect Administration</b>			
1 <i>Indirect Administration</i>			
1 CENTRAL ADMINISTRATION	\$326,795	\$390,580	\$460,018
2 INFORMATION RESOURCES	\$940,946	\$858,645	\$1,431,531
<b>TOTAL, GOAL 2</b>	<b>\$1,267,741</b>	<b>\$1,249,225</b>	<b>\$1,891,549</b>

2.A. Summary of Budget By Strategy

DATE : 11/15/2019

TIME : 11:23:45AM

86th Regular Session, Fiscal Year 2020 Operating Budget  
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: 356 Agency name: Texas Ethics Commission

Goal/Objective/STRATEGY	EXP 2018	EXP 2019	BUD 2020
<b>General Revenue Funds:</b>			
1 General Revenue Fund	\$2,615,703	\$2,551,702	\$3,656,936
	<b>\$2,615,703</b>	<b>\$2,551,702</b>	<b>\$3,656,936</b>
<b>Other Funds:</b>			
666 Appropriated Receipts	\$12,914	\$14,493	\$0
	<b>\$12,914</b>	<b>\$14,493</b>	<b>\$0</b>
<b>TOTAL, METHOD OF FINANCING</b>	<b>\$2,628,617</b>	<b>\$2,566,195</b>	<b>\$3,656,936</b>
<b>FULL TIME EQUIVALENT POSITIONS</b>	<b>27.1</b>	<b>24.1</b>	<b>35.4</b>

**2.B. Summary of Budget By Method of Finance**  
 86th Regular Session, Fiscal Year 2020 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/15/2019  
 TIME: 11:24:01AM

Agency code: 356 Agency name: Texas Ethics Commission

METHOD OF FINANCING	Exp 2018	Exp 2019	Bud 2020
<b><u>GENERAL REVENUE</u></b>			
<b><u>1</u> General Revenue Fund</b>			
<i>REGULAR APPROPRIATIONS</i>			
Regular Appropriations from MOF Table (2018-19 GAA)	\$2,935,748	\$2,975,750	\$0
Regular Appropriations from MOF Table (2020-21 GAA)	\$0	\$0	\$3,656,936
<i>LAPSED APPROPRIATIONS</i>			
Lapsed Appropriations	\$(270,045)	\$(474,048)	\$0
<p>Comments: FY 2018 lapse includes: \$400 for petty cash reduction; \$179,423 in unexpended outside counsel fees; and the remaining consists of salaries of ongoing unfilled vacancies and other operating expenses.</p> <p>FY 2019 lapse includes: \$198,292 in unexpended outside counsel fees; \$3,709 in unexpended CAPPS implementation and the remaining consists of salaries of ongoing unfilled vacancies and other operating expenses.</p>			
<i>UNEXPENDED BALANCES AUTHORITY</i>			
Unexpended Balances Authority GAA, SB-1, 85rd Legislative Session, Art. IX, Sect. 14.05 (2).	\$(50,000)	\$50,000	\$0
<p>Comments: UB Forward from FY 18 into FY 19 for cipher lock purchase and installation.</p>			
<b>TOTAL, General Revenue Fund</b>	<b>\$2,615,703</b>	<b>\$2,551,702</b>	<b>\$3,656,936</b>
<b>TOTAL, ALL GENERAL REVENUE</b>	<b>\$2,615,703</b>	<b>\$2,551,702</b>	<b>\$3,656,936</b>

**OTHER FUNDS**

**666 Appropriated Receipts**  
*LAPSED APPROPRIATIONS*

**2.B. Summary of Budget By Method of Finance**  
 86th Regular Session, Fiscal Year 2020 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/15/2019  
 TIME: 11:24:01AM

Agency code: 356 Agency name: Texas Ethics Commission

METHOD OF FINANCING		Exp 2018	Exp 2019	Bud 2020
Regular Appropriations from MOF Table (2018-19 GAA)				
Comments: Appropriated receipts		\$8,190	\$8,190	\$0
<i>BASE ADJUSTMENT</i>				
Art IX, Sec 6.03 Excess Collected Revenue Posted				
Comments: Excess collected revenue for copy orders		\$4,724	\$6,303	\$0
<b>TOTAL,</b>	<b>Appropriated Receipts</b>			
		\$12,914	\$14,493	\$0
<b>TOTAL, ALL</b>	<b>OTHER FUNDS</b>			
		\$12,914	\$14,493	\$0
<b>GRAND TOTAL</b>				
		\$2,628,617	\$2,566,195	\$3,656,936

**2.B. Summary of Budget By Method of Finance**  
 86th Regular Session, Fiscal Year 2020 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/15/2019  
 TIME: 11:24:01AM

Agency code: 356 Agency name: Texas Ethics Commission

METHOD OF FINANCING	Exp 2018	Exp 2019	Bud 2020
<b>FULL-TIME-EQUIVALENT POSITIONS</b>			
<b>REGULAR APPROPRIATIONS</b>			
Regular Appropriations from MOF Table (2018-19 GAA) <b>Comments: CAPPS FIN FTE added in FY 2019</b>	32.4	33.4	0.0
Regular Appropriations from MOF Table (2020-21 GAA) <b>Comments: Retained CAPPS HR FTE in FY 2020, GAA added (1) ATTY and (1) Programmer to FTEs</b>	0.0	0.0	35.4
<b>UNAUTHORIZED NUMBER OVER (BELOW) CAP</b>			
Unauthorized Number over (Below) cap 2018-2019 GAA <b>Comments: FTE difference related to positions that could not be filled due to lack of qualified or interested applicants in all strategies.</b>	(5.3)	(9.3)	0.0
<b>TOTAL, ADJUSTED FTES</b>	<b>27.1</b>	<b>24.1</b>	<b>35.4</b>

**NUMBER OF 100% FEDERALLY FUNDED FTES**



**2.C. Summary of Budget By Object of Expense**  
**86th Regular Session, Fiscal Year 2020 Operating Budget**  
**Automated Budget and Evaluation System of Texas (ABEST)**

DATE: 11/15/2019  
 TIME: 11:24:19AM

Agency code: 356

Agency name: Texas Ethics Commission

OBJECT OF EXPENSE	EXP 2018	EXP 2019	BUD 2020
1001 SALARIES AND WAGES	\$1,682,965	\$1,492,976	\$1,961,172
1002 OTHER PERSONNEL COSTS	\$99,580	\$190,962	\$190,879
2001 PROFESSIONAL FEES AND SERVICES	\$126,090	\$107,075	\$309,521
2003 CONSUMABLE SUPPLIES	\$5,142	\$5,304	\$12,626
2004 UTILITIES	\$2,576	\$1,483	\$1,575
2005 TRAVEL	\$23,279	\$19,999	\$24,979
2006 RENT - BUILDING	\$3,598	\$711	\$7,093
2007 RENT - MACHINE AND OTHER	\$13,327	\$11,428	\$12,487
2009 OTHER OPERATING EXPENSE	\$672,060	\$699,967	\$1,086,604
5000 CAPITAL EXPENDITURES	\$0	\$36,290	\$50,000
<b>Agency Total</b>	<b>\$2,628,617</b>	<b>\$2,566,195</b>	<b>\$3,656,936</b>





**2.D. Summary of Budget By Objective Outcomes**  
 86th Regular Session, Fiscal Year 2020 Operating Budget  
 Automated Budget and Evaluation system of Texas (ABEST)

Date : 11/15/2019  
 Time: 11:24:38AM

Agency code: 356                      Agency name: Texas Ethics Commission

Goal/ Objective / OUTCOME	Exp 2018	Exp 2019	Bud2020
1 Administer Public Disclosure/Ethics Laws			
1 Access Required Reports; Respond to Advisory Reqs & Sworn Complaints			
1 Percent of Reports Available for Public Inspection within Two Days	99.99 %	99.98 %	100.00 %
2 % of Sworn Complaints Processed within Five Working Days After Filing	100.00 %	100.00 %	100.00 %
KEY      3 Percent of Advisory Opinion Requests Answered within 60 Days	85.71 %	66.67 %	90.00 %



3.A. Strategy Level Detail

DATE: 11/15/2019

TIME: 11:32:05AM

86th Regular Session, Fiscal Year 2020 Operating Budget  
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: 356 Agency name: Texas Ethics Commission  
GOAL: 1 Administer Public Disclosure/Ethics Laws  
OBJECTIVE: 1 Access Required Reports; Respond to Advisory Reqs & Sworn Complaints  
STRATEGY: 1 Serve as the Repository for Statutorily Required Information

Service Categories:

Service: 02 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2018	EXP 2019	BUD 2020
<b>Output Measures:</b>				
KEY 1	Number of Reports Logged within Two Working Days of Receipt	112,120.00	106,218.00	112,000.00
2	Number of Reports Received and Processed	112,130.00	106,238.00	112,000.00
<b>Explanatory/Input Measures:</b>				
1	Number of Inquiries for Information	1,146,435.00	1,147,417.00	1,147,000.00
2	Number of Lobbyists Registered with the Commission	1,607.00	1,919.00	1,610.00
3	# of Public Officials Required to File Reports with the Commission	2,735.00	2,857.00	2,800.00
4	Number of Reports Due Filed Electronically	111,717.00	105,908.00	111,700.00
<b>Objects of Expense:</b>				
1001	SALARIES AND WAGES	\$246,906	\$224,510	\$253,676
1002	OTHER PERSONNEL COSTS	\$8,280	\$18,221	\$29,591
2001	PROFESSIONAL FEES AND SERVICES	\$150	\$112	\$180
2003	CONSUMABLE SUPPLIES	\$1,622	\$2,258	\$9,800
2004	UTILITIES	\$0	\$104	\$0
2005	TRAVEL	\$0	\$0	\$0
2006	RENT - BUILDING	\$403	\$330	\$605
2007	RENT - MACHINE AND OTHER	\$7,262	\$5,919	\$7,400
2009	OTHER OPERATING EXPENSE	\$35,436	\$43,400	\$34,258
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$300,059</b>	<b>\$294,854</b>	<b>\$335,510</b>
<b>Method of Financing:</b>				
1	General Revenue Fund	\$287,145	\$280,361	\$335,510
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>		<b>\$287,145</b>	<b>\$280,361</b>	<b>\$335,510</b>

Method of Financing:

3.A. Strategy Level Detail

DATE: 11/15/2019  
 TIME: 11:32:05AM

86th Regular Session, Fiscal Year 2020 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

Agency code: 356 Agency name: Texas Ethics Commission  
 GOAL: 1 Administer Public Disclosure/Ethics Laws  
 OBJECTIVE: 1 Access Required Reports; Respond to Advisory Reqs & Sworn Complaints  
 STRATEGY: 1 Serve as the Repository for Statutorily Required Information

Service Categories:  
 Service: 02 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2018	EXP 2019	BUD 2020
666	Appropriated Receipts	\$12,914	\$14,493	\$0
<b>SUBTOTAL, MOF (OTHER FUNDS)</b>		<b>\$12,914</b>	<b>\$14,493</b>	<b>\$0</b>
<b>TOTAL, METHOD OF FINANCE :</b>		<b>\$300,059</b>	<b>\$294,854</b>	<b>\$335,510 -</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>		<b>5.6</b>	<b>5.0</b>	<b>6.0</b>

3.A. Strategy Level Detail

DATE: 11/15/2019  
TIME: 11:32:05AM

86th Regular Session, Fiscal Year 2020 Operating Budget  
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: 356 Agency name: Texas Ethics Commission  
GOAL: 1 Administer Public Disclosure/Ethics Laws  
OBJECTIVE: 1 Access Required Reports; Respond to Advisory Reqs & Sworn Complaints  
STRATEGY: 2 Respond to Requests for Guidance/Advisory Opinions

Service Categories:

Service: 01 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2018	EXP 2019	BUD 2020
<b>Output Measures:</b>				
1	Number of Advisory Opinions Adopted by the Commission	3.00	3.00	3.00
<b>Efficiency Measures:</b>				
KEY 1	Average Time (Working Days) to Answer Advisory Opinion Requests	37.71	56.00	60.00
<b>Explanatory/Input Measures:</b>				
1	Number of Information Requests Regarding Commission Administered Laws	15,457.00	8,167.00	9,000.00
2	Number of Advisory Opinion Requests Received	7.00	0.00	5.00
<b>Objects of Expense:</b>				
1001	SALARIES AND WAGES	\$339,492	\$307,340	\$364,934
1002	OTHER PERSONNEL COSTS	\$1,840	\$11,320	\$22,440
2001	PROFESSIONAL FEES AND SERVICES	\$457	\$146	\$190
2003	CONSUMABLE SUPPLIES	\$985	\$555	\$500
2004	UTILITIES	\$0	\$0	\$0
2005	TRAVEL	\$4,509	\$56	\$1,850
2006	RENT - BUILDING	\$2,953	\$120	\$6,228
2007	RENT - MACHINE AND OTHER	\$3,241	\$2,686	\$2,200
2009	OTHER OPERATING EXPENSE	\$33,065	\$35,422	\$33,640
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$386,542</b>	<b>\$357,645</b>	<b>\$431,982</b>
<b>Method of Financing:</b>				
I	General Revenue Fund	\$386,542	\$357,645	\$431,982
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>		<b>\$386,542</b>	<b>\$357,645</b>	<b>\$431,982</b>
<b>TOTAL, METHOD OF FINANCE :</b>		<b>\$386,542</b>	<b>\$357,645</b>	<b>\$431,982</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>		<b>4.6</b>	<b>3.8</b>	<b>6.8</b>

3.A. Strategy Level Detail

86th Regular Session, Fiscal Year 2020 Operating Budget  
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/15/2019  
TIME: 11:32:05AM

Agency code: 356 Agency name: Texas Ethics Commission

GOAL: 1 Administer Public Disclosure/Ethics Laws  
OBJECTIVE: 1 Access Required Reports; Respond to Advisory Reqs & Sworn Complaints  
STRATEGY: 3 Respond to Complaints and Enforce Applicable Statutes

Service Categories:

Service: 01 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2018	EXP 2019	BUD 2020
<b>Output Measures:</b>				
	1 Number of Sworn Complaints Recommended for Resolution	105.00	125.00	100.00
	2 # of Sworn Complaints Processed within Five Working Days after Filing	373.00	206.00	311.00
KEY	3 Number of Sworn Complaints Processed	373.00	205.00	311.00
	4 Number of Late Notices Sent to Filers	2,598.00	2,214.00	2,500.00
<b>Efficiency Measures:</b>				
KEY	1 Average Time (Working Days) to Respond to Sworn Complaints	4.20	4.19	5.00
	2 Average Time to Notify Late Filer of Late Report	28.00	20.00	25.00
	3 Avg Time to Notify Complainants & Respondents/Commission Determination	3.62	4.99	5.00
<b>Explanatory/Input Measures:</b>				
	1 Number of Sworn Complaints Received	374.00	207.00	311.00
	2 Percent of Filers Filing Timely Reports	93.00 %	95.00 %	94.00 %
	3 Number of Late Penalties Received	485.00	518.00	450.00
	4 Percent of Sworn Complaints Resolved within 180 Days	84.08 %	83.88 %	85.00 %
<b>Objects of Expense:</b>				
	1001 SALARIES AND WAGES	\$466,959	\$408,084	\$553,492
	1002 OTHER PERSONNEL COSTS	\$36,122	\$97,191	\$85,398
	2001 PROFESSIONAL FEES AND SERVICES	\$120,674	\$101,805	\$300,130
	2003 CONSUMABLE SUPPLIES	\$1,639	\$1,448	\$1,551
	2004 UTILITIES	\$1,584	\$915	\$1,111
	2005 TRAVEL	\$18,770	\$19,943	\$23,129
	2006 RENT - BUILDING	\$0	\$0	\$0
	2007 RENT - MACHINE AND OTHER	\$2,161	\$2,066	\$2,150
	2009 OTHER OPERATING EXPENSE	\$26,366	\$33,019	\$30,934

3.A. Strategy Level Detail

DATE: 11/15/2019

TIME: 11:32:05AM

86th Regular Session, Fiscal Year 2020 Operating Budget  
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: 356 Agency name: Texas Ethics Commission

GOAL: 1 Administer Public Disclosure/Ethics Laws

OBJECTIVE: 1 Access Required Reports; Respond to Advisory Reqs & Sworn Complaints

STRATEGY: 3 Respond to Complaints and Enforce Applicable Statutes

Service Categories:

Service: 01 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2018	EXP 2019	BUD 2020
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$674,275</b>	<b>\$664,471</b>	<b>\$997,895</b>
<b>Method of Financing:</b>				
1 General Revenue Fund		\$674,275	\$664,471	\$997,895
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>		<b>\$674,275</b>	<b>\$664,471</b>	<b>\$997,895</b>
<b>TOTAL, METHOD OF FINANCE :</b>		<b>\$674,275</b>	<b>\$664,471</b>	<b>\$997,895</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>		<b>7.0</b>	<b>5.9</b>	<b>8.6</b>

3.A. Strategy Level Detail

DATE: 11/15/2019

TIME: 11:32:05AM

86th Regular Session, Fiscal Year 2020 Operating Budget  
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: 356 Agency name: Texas Ethics Commission

GOAL: 2 Indirect Administration

OBJECTIVE: 1 Indirect Administration

STRATEGY: 1 Central Administration

Service Categories:

Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2018	EXP 2019	BUD 2020
<b>Objects of Expense:</b>				
1001	SALARIES AND WAGES	\$295,985	\$282,537	\$361,219
1002	OTHER PERSONNEL COSTS	\$16,160	\$27,145	\$31,850
2001	PROFESSIONAL FEES AND SERVICES	\$3,647	\$2,827	\$2,830
2003	CONSUMABLE SUPPLIES	\$523	\$811	\$545
2004	UTILITIES	\$529	\$8	\$8
2005	TRAVEL	\$0	\$0	\$0
2006	RENT - BUILDING	\$0	\$0	\$0
2007	RENT - MACHINE AND OTHER	\$530	\$606	\$622
2009	OTHER OPERATING EXPENSE	\$9,421	\$40,356	\$12,944
5000	CAPITAL EXPENDITURES	\$0	\$36,290	\$50,000
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$326,795</b>	<b>\$390,580</b>	<b>\$460,018</b>
<b>Method of Financing:</b>				
1	General Revenue Fund	\$326,795	\$390,580	\$460,018
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>		<b>\$326,795</b>	<b>\$390,580</b>	<b>\$460,018</b>
<b>TOTAL, METHOD OF FINANCE :</b>		<b>\$326,795</b>	<b>\$390,580</b>	<b>\$460,018</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>		<b>4.0</b>	<b>4.0</b>	<b>6.0</b>



3.A. Strategy Level Detail

DATE: 11/15/2019

TIME: 11:32:05AM

86th Regular Session, Fiscal Year 2020 Operating Budget  
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: 356 Agency name: Texas Ethics Commission

GOAL: 2 Indirect Administration

OBJECTIVE: 1 Indirect Administration

STRATEGY: 2 Information Resources

Service Categories:

Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2018	EXP 2019	BUD 2020
<b>Objects of Expense:</b>				
1001	SALARIES AND WAGES	\$333,623	\$270,505	\$427,851
1002	OTHER PERSONNEL COSTS	\$37,178	\$37,085	\$21,600
2001	PROFESSIONAL FEES AND SERVICES	\$1,162	\$2,185	\$6,191
2003	CONSUMABLE SUPPLIES	\$373	\$232	\$230
2004	UTILITIES	\$463	\$456	\$456
2005	TRAVEL	\$0	\$0	\$0
2006	RENT - BUILDING	\$242	\$261	\$260
2007	RENT - MACHINE AND OTHER	\$133	\$151	\$115
2009	OTHER OPERATING EXPENSE	\$567,772	\$547,770	\$974,828
5000	CAPITAL EXPENDITURES	\$0	\$0	\$0
<b>TOTAL, OBJECT OF EXPENSE</b>		<b>\$940,946</b>	<b>\$858,645</b>	<b>\$1,431,531</b>
<b>Method of Financing:</b>				
1	General Revenue Fund	\$940,946	\$858,645	\$1,431,531
<b>SUBTOTAL, MOF (GENERAL REVENUE FUNDS)</b>		<b>\$940,946</b>	<b>\$858,645</b>	<b>\$1,431,531</b>
<b>TOTAL, METHOD OF FINANCE :</b>		<b>\$940,946</b>	<b>\$858,645</b>	<b>\$1,431,531</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>		<b>5.9</b>	<b>5.4</b>	<b>8.0</b>

**3.A. Strategy Level Detail**

DATE: 11/15/2019

86th Regular Session, Fiscal Year 2020 Operating Budget  
Automated Budget and Evaluation System of Texas (ABEST)

TIME: 11:32:05AM

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**SUMMARY TOTALS:**

<b>OBJECTS OF EXPENSE:</b>	<b>\$2,628,617</b>	<b>\$2,566,195</b>	<b>\$3,656,936</b>
<b>METHODS OF FINANCE :</b>	<b>\$2,628,617</b>	<b>\$2,566,195</b>	<b>\$3,656,936</b>
<b>FULL TIME EQUIVALENT POSITIONS:</b>	<b>27.1</b>	<b>24.1</b>	<b>35.4</b>

### III.B. Sub-strategy Detail

<b>Agency Code:</b> 356	<b>Agency Name:</b> Texas Ethics Commission	<b>Prepared By:</b> Cristina Hernandez		
<b>AGENCY GOAL:</b> N/A				
<b>OBJECTIVE:</b>				
<b>STRATEGY:</b>				
<b>SUB-STRATEGY:</b>				
Code	Sub-strategy Detail	Expended 2018	Expended 2019	Budgeted 2020
	<b>Objects of Expense:</b>			
	<b>Total, Objects of Expense</b>	\$0	\$0	\$0
	<b>Method of Financing:</b>			
	<b>Total, Method of Financing</b>	\$0	\$0	\$0
	<b>Number of Positions (FTE)</b>			
<b>Sub-strategy Description:</b>				



### III.C. Sub-strategy Summary

<b>Agency Code:</b> 356	<b>Agency Name:</b> Texas Ethics Commission	<b>Prepared By:</b> Cristina Hernandez		<b>Strategy Code:</b>
<b>AGENCY GOAL:</b> N/A				
<b>OBJECTIVE:</b>				
<b>STRATEGY:</b>				
<b>SUB-STRATEGY SUMMARY</b>				
Code	Sub-strategies	Expended 2018	Expended 2019	Budgeted 2020
	<b>Total, Sub-strategies</b>	\$0	\$0	\$0



4.A. Capital Budget Project Schedule  
 86th Regular Session, Fiscal Year 2020 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/15/2019  
 TIME: 11:32:24AM

Agency code: 356

Agency name: Texas Ethics Commission

Category Code / Category Name

Project Sequence/Project Id/ Name

OOE / TOF / MOF CODE

EXP 2018

EXP 2019

BUD 2020

5005 Acquisition of Information Resource Technologies

1/1 Vendor Support for Electronic Filing &  
 Disclosure Database Systems

OBJECTS OF EXPENSE

Informational

2009 OTHER OPERATING EXPENSE

Informational Subtotal OOE, Project

1

Subtotal OOE, Project

1

\$434,765

\$347,890

\$447,890

\$434,765

\$347,890

\$447,890

\$434,765

\$347,890

\$447,890

TYPE OF FINANCING

Capital

CA 1 General Revenue Fund

\$0

\$0

\$0

Capital Subtotal TOF, Project

1

\$0

\$0

\$0

Informational

CA 1 General Revenue Fund

\$434,765

\$347,890

\$447,890

Informational Subtotal TOF, Project

1

\$434,765

\$347,890

\$447,890

Subtotal TOF, Project

1

\$434,765

\$347,890

\$447,890

2/2 Enhancements to Electronic Filing System

OBJECTS OF EXPENSE

Informational

2009 OTHER OPERATING EXPENSE

Informational Subtotal OOE, Project

2

Subtotal OOE, Project

2

\$0

\$0

\$137,500

\$0

\$0

\$137,500

\$0

\$0

\$137,500

TYPE OF FINANCING

Capital

CA 1 General Revenue Fund

\$0

\$0

\$0

4.A. Capital Budget Project Schedule  
 86th Regular Session, Fiscal Year 2020 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/15/2019  
 TIME: 11:32:24AM

Agency code: 356

Agency name: Texas Ethics Commission

Category Code / Category Name

Project Sequence/Project Id/ Name

OOE / TOF / MOF CODE

EXP 2018

EXP 2019

BUD 2020

Capital Subtotal TOF, Project 2  
Informational

\$0

\$0

\$0

CA 1 General Revenue Fund

\$0

\$0

\$137,500

Informational Subtotal TOF, Project 2

\$0

\$0

\$137,500

Subtotal TOF, Project 2

\$0

\$0

\$137,500

3/3 Network Switches

OBJECTS OF EXPENSE

Informational

2009 OTHER OPERATING EXPENSE

\$0

\$0

\$75,000

Informational Subtotal OOE, Project 3

\$0

\$0

\$75,000

Subtotal OOE, Project 3

\$0

\$0

\$75,000

TYPE OF FINANCING

Capital

CA 1 General Revenue Fund

\$0

\$0

\$0

Capital Subtotal TOF, Project 3

\$0

\$0

\$0

Informational

CA 1 General Revenue Fund

\$0

\$0

\$75,000

Informational Subtotal TOF, Project 3

\$0

\$0

\$75,000

Subtotal TOF, Project 3

\$0

\$0

\$75,000

4/4 Case Management Software

OBJECTS OF EXPENSE

Informational

2009 OTHER OPERATING EXPENSE

\$0

\$0

\$55,000

Informational Subtotal OOE, Project 4

\$0

\$0

\$55,000

Subtotal OOE, Project 4

\$0

\$0

\$55,000



4.A. Capital Budget Project Schedule  
 86th Regular Session, Fiscal Year 2020 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/15/2019  
 TIME: 11:32:24AM

Agency code: 356

Agency name: Texas Ethics Commission

Category Code / Category Name

Project Sequence/Project Id/ Name

OOE / TOF / MOF CODE

EXP 2018

EXP 2019

BUD 2020

TYPE OF FINANCING

Capital

CA 1 General Revenue Fund

\$0

\$0

\$0

Capital Subtotal TOF, Project 4

\$0

\$0

\$0

Informational

CA 1 General Revenue Fund

\$0

\$0

\$55,000

Informational Subtotal TOF, Project 4

\$0

\$0

\$55,000

Subtotal TOF, Project 4

\$0

\$0

\$55,000

5/5 Case Management Maintenance

OBJECTS OF EXPENSE

Informational

2009 OTHER OPERATING EXPENSE

\$0

\$0

\$22,000

Informational Subtotal OOE, Project 5

\$0

\$0

\$22,000

Subtotal OOE, Project 5

\$0

\$0

\$22,000

TYPE OF FINANCING

Capital

CA 1 General Revenue Fund

\$0

\$0

\$0

Capital Subtotal TOF, Project 5

\$0

\$0

\$0

Informational

CA 1 General Revenue Fund

\$0

\$0

\$22,000

Informational Subtotal TOF, Project 5

\$0

\$0

\$22,000

Subtotal TOF, Project 5

\$0

\$0

\$22,000

4.A. Capital Budget Project Schedule  
 86th Regular Session, Fiscal Year 2020 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/15/2019  
 TIME: 11:32:24AM

Agency code: 356

Agency name: Texas Ethics Commission

Category Code / Category Name

Project Sequence/Project Id/ Name

OOE / TOF / MOF CODE		EXP 2018	EXP 2019	BUD 2020
Capital Subtotal, Category	5005	\$0	\$0	\$0
Informational Subtotal, Category	5005	\$434,765	\$347,890	\$737,390
<b>Total, Category</b>	<b>5005</b>	<b>\$434,765</b>	<b>\$347,890</b>	<b>\$737,390</b>
<b>8000 Centralized Accounting and Payroll/Personnel System (CAPPS)</b>				
<i>6/6 CAPPS Implementation</i>				
<b>OBJECTS OF EXPENSE</b>				
<u>Informational</u>				
1001 SALARIES AND WAGES		\$0	\$35,791	\$49,352
2009 OTHER OPERATING EXPENSE		\$0	\$499	\$648
Informational Subtotal OOE, Project	6	\$0	\$36,290	\$50,000
Subtotal OOE, Project	6	\$0	\$36,290	\$50,000
<b>TYPE OF FINANCING</b>				
<u>Capital</u>				
CA 1 General Revenue Fund		\$0	\$0	\$0
Capital Subtotal TOF, Project	6	\$0	\$0	\$0
<u>Informational</u>				
CA 1 General Revenue Fund		\$0	\$36,290	\$50,000
Informational Subtotal TOF, Project	6	\$0	\$36,290	\$50,000
Subtotal TOF, Project	6	\$0	\$36,290	\$50,000
Capital Subtotal, Category	8000	\$0	\$0	\$0
Informational Subtotal, Category	8000	\$0	\$36,290	\$50,000
<b>Total, Category</b>	<b>8000</b>	<b>\$0</b>	<b>\$36,290</b>	<b>\$50,000</b>
<b>AGENCY TOTAL-CAPITAL</b>		<b>\$0</b>	<b>\$0</b>	<b>\$0</b>

4.A. Capital Budget Project Schedule  
 86th Regular Session, Fiscal Year 2020 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/15/2019  
 TIME: 11:32:24AM

Agency code: 356

Agency name: Texas Ethics Commission

Category Code / Category Name

Project Sequence/Project Id/ Name

OOE / TOF / MOF CODE

EXP 2018

EXP 2019

BUD 2020

AGENCY TOTAL -INFORMATIONAL

\$434,765

\$384,180

\$787,390

AGENCY TOTAL

\$434,765

\$384,180

\$787,390

METHOD OF FINANCING:

Capital

1 General Revenue Fund

\$0

\$0

\$0

Total, Method of Financing-Capital

\$0

\$0

\$0

Informational

1 General Revenue Fund

\$434,765

\$384,180

\$787,390

Total, Method of Financing-Informational

\$434,765

\$384,180

\$787,390

Total, Method of Financing

\$434,765

\$384,180

\$787,390

TYPE OF FINANCING:

Capital

CA CURRENT APPROPRIATIONS

\$0

\$0

\$0

Total, Type of Financing-Capital

\$0

\$0

\$0

Informational

CA CURRENT APPROPRIATIONS

\$434,765

\$384,180

\$787,390

Total, Type of Financing-Informational

\$434,765

\$384,180

\$787,390

Total, Type of Financing

\$434,765

\$384,180

\$787,390



**Capital Budget Allocation to Strategies**  
 86th Regular Session, Fiscal Year 2020 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/15/2019  
 TIME: 11:47:59AM

Agency code: 356 Agency name: Texas Ethics Commission

**Category Code/Name**

*Project Sequence/Project Id/Name*

Goal/Obj/Str	Strategy Name	EXP 2018	EXP 2019	BUD 2020
<b>5005 Acquisition of Information Resource Technologies</b>				
<i>1/1</i>	<i>Vendor Support</i>			
Informational	2-1-2 INFORMATION RESOURCES	434,765	347,890	\$447,890
	TOTAL, PROJECT	\$434,765	\$347,890	\$447,890
<i>2/2</i>	<i>Enhancements to E-Filing System</i>			
Informational	2-1-2 INFORMATION RESOURCES	0	0	137,500
	TOTAL, PROJECT	\$0	\$0	\$137,500
<i>3/3</i>	<i>Network Switches</i>			
Informational	2-1-2 INFORMATION RESOURCES	0	0	75,000
	TOTAL, PROJECT	\$0	\$0	\$75,000
<i>4/4</i>	<i>Case Management Software</i>			
Informational	2-1-2 INFORMATION RESOURCES	0	0	55,000
	TOTAL, PROJECT	\$0	\$0	\$55,000
<i>5/5</i>	<i>Case Management Maintenance</i>			
Informational	2-1-2 INFORMATION RESOURCES	0	0	22,000
	TOTAL, PROJECT	\$0	\$0	\$22,000

**Capital Budget Allocation to Strategies**  
 86th Regular Session, Fiscal Year 2020 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/15/2019  
 TIME: 11:47:59AM

Agency code: 356 Agency name: Texas Ethics Commission

**Category Code/Name**

*Project Sequence/Project Id/Name*

Goal/Obj/Str	Strategy Name	EXP 2018	EXP 2019	BUD 2020
8000	Centralized Accounting and Payroll/Personnel System (CAPPS)			
6/6	CAPPS Implementation			
Informational	2-1-1 CENTRAL ADMINISTRATION	0	36,290	\$50,000
	TOTAL, PROJECT	\$0	\$36,290	\$50,000
	TOTAL CAPITAL, ALL PROJECTS			
	TOTAL INFORMATIONAL, ALL PROJECTS	\$434,765	\$384,180	\$787,390
	TOTAL, ALL PROJECTS	\$434,765	\$384,180	\$787,390

**4.D. Estimated Revenue Collections Supporting Schedule**  
 86th Regular Session, Fiscal Year 2020 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/15/2019  
 TIME: 11:32:58AM

Agency Code: **356** Agency name: **Texas Ethics Commission**

<b>FUND/ACCOUNT</b>	<b>Exp 2018</b>	<b>Exp 2019</b>	<b>Bud 2020</b>
<b>666 Appropriated Receipts</b>			
Beginning Balance (Unencumbered):	\$12,914	\$14,493	\$0
Estimated Revenue:			
<b>DEDUCTIONS:</b>			
Expenditures for agency operations	(12,914)	(14,493)	0
<b>Total, Deductions</b>	<b>\$(12,914)</b>	<b>\$(14,493)</b>	<b>\$0</b>
<b>Ending Fund/Account Balance</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>

**REVENUE ASSUMPTIONS:**

Appropriated receipts for excess collected for copy orders FY 2018-2019. Appropriated receipts revenue is comprised of the amounts charged to customers for providing copies of information maintained by the agency (i.e., paper copies of reports filed with the agency or disks containing information from agency databases). The agency has no control over the number, size, or amounts of copy orders that will be requested.

The budgeted copy order revenue for FY 2020 is zero based on the 86th Legislature approved the agencies exceptional rider request to remove appropriated receipts going forward.

**CONTACT PERSON:**

Cristina Hernandez





**4.F. Part A Budgetary Impacts Related to Recently Enacted State Legislation Schedule**  
 86th Regular Session, Fiscal Year 2020 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/15/2019  
 TIME: 11:33:21AM

Agency code: 356

Agency name: Texas Ethics Commission

		Exp 2019	Bud 2020	Est 2021	Est 2022	Est 2023
<b>Expanded or New Initiative:</b>		1. Complaints filed with and certain other filings submitted to the Texas Ethics Commission.				
<b>Legal Authority for Item:</b>						
Section 571.0771(b), Government Code, as amended by SB548, 86th Regular Session						
<b>Description/Key Assumptions (including start up/implementation costs and ongoing costs):</b>						
SB548 (86R) could require the Texas Ethics Commission to conduct more frequent preliminary review hearings and address subpoena issues, effective September 1, 2019. In order to do that the Commission would have to conduct more frequent Commission meetings and hire two additional attorneys to handle additional advisory opinions and/or enforcement matters. The estimated fiscal impact over a 5 year span is of \$573,582 and would continue every year the Commission is required to comply with this bill.						
<b>State Budget by Program:</b>		Legal Guidance and Opinions				
<b>IT Component:</b>		Yes				
<b>Involve Contracts &gt; \$50,000:</b>		No				
<b>Objects of Expense</b>						
Strategy: 1-1-2 LEGAL GUIDANCE AND OPINIONS						
1001	SALARIES AND WAGES	\$0	\$133,000	\$133,000	\$133,000	\$133,000
1002	OTHER PERSONNEL COSTS	\$0	\$1,995	\$1,995	\$1,995	\$1,995
SUBTOTAL, Strategy 1-1-2		\$0	\$134,995	\$134,995	\$134,995	\$134,995
Strategy: 1-1-3 ENFORCEMENT						
2005	TRAVEL	\$6,600	\$6,600	\$6,600	\$6,600	\$6,600
SUBTOTAL, Strategy 1-1-3		\$6,600	\$6,600	\$6,600	\$6,600	\$6,600
Strategy: 2-1-2 INFORMATION RESOURCES						
2009	OTHER OPERATING EXPENSE	\$0	\$6,770	\$144	\$144	\$144
SUBTOTAL, Strategy 2-1-2		\$0	\$6,770	\$144	\$144	\$144
<b>TOTAL, Objects of Expense</b>		<b>\$6,600</b>	<b>\$148,365</b>	<b>\$141,739</b>	<b>\$141,739</b>	<b>\$141,739</b>
<b>Method of Financing</b>						
GENERAL REVENUE FUNDS						
Strategy: 1-1-2 LEGAL GUIDANCE AND OPINIONS						
1	General Revenue Fund	\$0	\$134,995	\$134,995	\$134,995	\$134,995
SUBTOTAL, Strategy 1-1-2		\$0	\$134,995	\$134,995	\$134,995	\$134,995
Strategy: 1-1-3 ENFORCEMENT						
1	General Revenue Fund	\$6,600	\$6,600	\$6,600	\$6,600	\$6,600
SUBTOTAL, Strategy 1-1-3		\$6,600	\$6,600	\$6,600	\$6,600	\$6,600
Strategy: 2-1-2 INFORMATION RESOURCES						

**4.F. Part A Budgetary Impacts Related to Recently Enacted State Legislation Schedule**  
 86th Regular Session, Fiscal Year 2020 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/15/2019  
 TIME: 11:33:21AM

Agency code: 356

Agency name: Texas Ethics Commission

	Exp 2019	Bud 2020	Est 2021	Est 2022	Est 2023
1 General Revenue Fund	\$0	\$6,770	\$144	\$144	\$144
SUBTOTAL, Strategy 2-1-2	\$0	\$6,770	\$144	\$144	\$144
SUBTOTAL, GENERAL REVENUE FUNDS	\$6,600	\$148,365	\$141,739	\$141,739	\$141,739
TOTAL, Method of Financing	\$6,600	\$148,365	\$141,739	\$141,739	\$141,739
<b>FULL-TIME-EQUIVALENT POSITIONS (FTE)</b>					
Strategy: 1-1-2 LEGAL GUIDANCE AND OPINIONS	0.0	2.0	2.0	2.0	2.0
TOTAL FTES	0.0	2.0	2.0	2.0	2.0

**Description of IT Component Included in New or Expanded Initiative:**

SB548 (86R) could require the Texas Ethics Commission to conduct more frequent preliminary review hearings and address subpoena issues, effective September 1, 2019. In order to do that the Commission would have to conduct more frequent Commission meetings and hire two additional attorneys to handle additional advisory opinions and/or enforcement matters. The estimated fiscal impact over a 5 year span is of \$573,582 and would continue every year the Commission is required to comply with this bill. The Commission would also need to purchase additional computers, monitors, desks, chairs, and software licenses for the additional FTEs required to comply with this bill.

Is this IT component a New or Current Project? New

**FTEs related to IT Component?**

Exp 2019	Bud 2020	Est 2021	Est 2022	Est 2023
0.0	2.0	2.0	2.0	2.0

**Proposed Software:**

Adobe and MS O365

**Proposed Hardware:**

Dell OptiPlex computers and monitors.

**Development Cost and Other Costs:**

The initial year the cost for equipment and software licenses would be \$6,770.24. There would also be recurring yearly software licenses that would be required to be renewed at an estimated rate of \$144.24 over the span of the contract and filled FTEs. The Commission suggests hiring 2 additional Attorneys to handle the advisory opinions in a timely manner. Attorney I or II \$60,000 and Attorney III \$73,000 not including the 33.43% for benefits or salary increases over time.

**Type of Project:**

Application Remediation

**Estimated IT Cost:**

Exp 2019	Bud 2020	Est 2021	Est 2022	Est 2023	Total Over Life of Project
\$0	\$6,770	\$144	\$144	\$144	\$7,202

**4.F. Part A Budgetary Impacts Related to Recently Enacted State Legislation Schedule**  
 86th Regular Session, Fiscal Year 2020 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/15/2019  
 TIME: 11:33:21AM

Agency code: 356

Agency name: Texas Ethics Commission

	Exp 2019	Bud 2020	Est 2021	Est 2022	Est 2023
<b>Expanded or New Initiative:</b>					
2. Enhancements to the filing application to allow information required to be disclosed in a registration form for lobbyist (FARA)					

**Legal Authority for Item:**

Section 305.005, Government Code, as amended by HB1785, 86th Regular Session

**Description/Key Assumptions (including start up/implementation costs and ongoing costs):**

HB1785 (86R) requires the Ethics Commission to make enhancements to the lobby filing application to require lobbyists to disclose FARA registration status on the Lobby Registration form. The Commission will purchase 80 enhancement hours at a current rate of \$125/hour for the vendor to make these changes. There is an initial one-time implementation cost of \$10,000 to make those enhancements. Any future enhancements would be an additional charge at the hourly rate in place at the time.

**State Budget by Program:** InformationResources  
**IT Component:** Yes  
**Involve Contracts > \$50,000:** No

**Objects of Expense**

Strategy: 2-1-2 INFORMATION RESOURCES  
 2009 OTHER OPERATING EXPENSE

	\$0	\$10,000	\$0	\$0	\$0
<b>SUBTOTAL, Strategy 2-1-2</b>	<b>\$0</b>	<b>\$10,000</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>TOTAL, Objects of Expense</b>	<b>\$0</b>	<b>\$10,000</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>

**Method of Financing**

GENERAL REVENUE FUNDS

Strategy: 2-1-2 INFORMATION RESOURCES  
 1 General Revenue Fund

	\$0	\$10,000	\$0	\$0	\$0
<b>SUBTOTAL, Strategy 2-1-2</b>	<b>\$0</b>	<b>\$10,000</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>SUBTOTAL, GENERAL REVENUE FUNDS</b>	<b>\$0</b>	<b>\$10,000</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>TOTAL, Method of Financing</b>	<b>\$0</b>	<b>\$10,000</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>

**Description of IT Component Included in New or Expanded Initiative:**

Design, develop, and test the addition of a checkbox to the Lobby Registration Forms REG and AREG Cover Sheet page 1, to add information to a lobby registration indicating that the registrant is registered, or required to be registered, under FARA. The work would entail modifying the navigation data entry pages, the PDF templates for Forms REG/AREG Cover Sheet page 1, the rendering algorithms, adding a new field to the database, and modifying the lobby mash up table.

**Is this IT component a New or Current Project?** New

**FTEs related to IT Component?**

Exp 2019	Bud 2020	Est 2021	Est 2022	Est 2023
0.0	0.0	0.0	0.0	0.0

**4.F. Part A Budgetary Impacts Related to Recently Enacted State Legislation Schedule**  
**86th Regular Session, Fiscal Year 2020 Operating Budget**  
**Automated Budget and Evaluation System of Texas (ABEST)**

DATE: 11/15/2019  
 TIME: 11:33:21AM

Agency code: 356

Agency name: Texas Ethics Commission

	<b>Exp 2019</b>	<b>Bud 2020</b>	<b>Est 2021</b>	<b>Est 2022</b>	<b>Est 2023</b>
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**Proposed Software:**

Enhancements to current filing software

**Proposed Hardware:**

No new acquisitions.

**Development Cost and Other Costs:**

This enhancement will take approximately 80 hours at a rate of \$125/hour for a one-time cost of \$10,000.

**Type of Project:**

Application Remediation

**Estimated IT Cost:**

Exp 2019	Bud 2020	Est 2021	Est 2022	Est 2023	Total Over Life of Project
\$0	\$10,000	\$0	\$0	\$0	\$10,000

**4.F. Part A Budgetary Impacts Related to Recently Enacted State Legislation Schedule**  
 86th Regular Session, Fiscal Year 2020 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/15/2019  
 TIME: 11:33:21AM

Agency code: 356

Agency name: Texas Ethics Commission

	Exp 2019	Bud 2020	Est 2021	Est 2022	Est 2023
<b>Expanded or New Initiative:</b>					
3.Enhancements to filing system to require that contributions made electronically must be itemized					

**Legal Authority for Item:**

Section 251.001, Election Code, as amended by HB2586, 86th Regular Session

**Description/Key Assumptions (including start up/implementation costs and ongoing costs):**

HB2586 (86R) requires the Ethics Commission to make enhancements to the filing system to itemize contributions made electronically. The Commission will purchase 12 enhancement hours at a current rate of \$125/hour for the vendor to make these changes. There is an initial one-time implementation cost of \$1,500 to make those enhancements. Any future enhancements would be an additional charge at the hourly rate in place at the time.

State Budget by Program: InformationResources  
 IT Component: Yes  
 Involve Contracts > \$50,000: No

**Objects of Expense**

Strategy: 2-1-2 INFORMATION RESOURCES

2009 OTHER OPERATING EXPENSE

	\$0	\$1,500	\$0	\$0	\$0
<b>SUBTOTAL, Strategy 2-1-2</b>	<b>\$0</b>	<b>\$1,500</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>TOTAL, Objects of Expense</b>	<b>\$0</b>	<b>\$1,500</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>

**Method of Financing**

GENERAL REVENUE FUNDS

Strategy: 2-1-2 INFORMATION RESOURCES

1 General Revenue Fund

	\$0	\$1,500	\$0	\$0	\$0
<b>SUBTOTAL, Strategy 2-1-2</b>	<b>\$0</b>	<b>\$1,500</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>SUBTOTAL, GENERAL REVENUE FUNDS</b>	<b>\$0</b>	<b>\$1,500</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>TOTAL, Method of Financing</b>	<b>\$0</b>	<b>\$1,500</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>

**Description of IT Component Included in New or Expanded Initiative:**

Design, develop, and test the addition of text stating the requirement that contributions made electronically must be itemized. The work entails modifying the campaign finance filing application navigation data entry pages and the PDF templates.

Is this IT component a New or Current Project? New

**FTEs related to IT Component?**

Exp 2019	Bud 2020	Est 2021	Est 2022	Est 2023
0.0	0.0	0.0	0.0	0.0

**4.F. Part A Budgetary Impacts Related to Recently Enacted State Legislation Schedule**  
 86th Regular Session, Fiscal Year 2020 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/15/2019  
 TIME: 11:33:21AM

Agency code: 356

Agency name: Texas Ethics Commission

	<b>Exp 2019</b>	<b>Bud 2020</b>	<b>Est 2021</b>	<b>Est 2022</b>	<b>Est 2023</b>
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**Proposed Software:**

Enhancements to current filing software.

**Proposed Hardware:**

no new acquisitions.

**Development Cost and Other Costs:**

This enhancement will take approximately 12 hours at a rate of \$125/hour for a one-time cost of \$1,500. We will use exceptional item funds appropriated in the 86th regular session for enhancement hours.

**Type of Project:**

Application Remediation

**Estimated IT Cost:**

	Exp 2019	Bud 2020	Est 2021	Est 2022	Est 2023	Total Over Life of Project
	\$0	\$1,500	\$0	\$0	\$0	\$1,500

**4.F. Part A Budgetary Impacts Related to Recently Enacted State Legislation Schedule**  
 86th Regular Session, Fiscal Year 2020 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/15/2019  
 TIME: 11:33:21AM

Agency code: 356

Agency name: Texas Ethics Commission

	Exp 2019	Bud 2020	Est 2021	Est 2022	Est 2023
<b>Expanded or New Initiative:</b> 4. Enhancements to filing system to allow for designation as a Hybrid PAC					
<b>Legal Authority for Item:</b>					
Section 253.105, Subchapter D, Chapter 253, Election Code, as amended by HB3580, 86th Regular Session					
<b>Description/Key Assumptions (including start up/implementation costs and ongoing costs):</b>					
HB3580 (86R) requires the Ethics Commission to make enhancements to the electronic filing system to allow the designation as a Hybrid PAC. The Commission would purchase 16 enhancement hours at a current rate of \$125/hour for the vendor to make these changes. There is an initial one-time implementation cost of \$2,000 to make those enhancements. Any future enhancements would be an additional charge at the hourly rate in place at the time.					
<b>State Budget by Program:</b> Information Resources					
<b>IT Component:</b> Yes					
<b>Involve Contracts &gt; \$50,000:</b> No					
<b>Objects of Expense</b>					
Strategy: 2-1-2 INFORMATION RESOURCES					
2009 OTHER OPERATING EXPENSE	\$0	\$2,000	\$0	\$0	\$0
<b>SUBTOTAL, Strategy 2-1-2</b>	<b>\$0</b>	<b>\$2,000</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>TOTAL, Objects of Expense</b>	<b>\$0</b>	<b>\$2,000</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>Method of Financing</b>					
GENERAL REVENUE FUNDS					
Strategy: 2-1-2 INFORMATION RESOURCES					
1 General Revenue Fund	\$0	\$2,000	\$0	\$0	\$0
<b>SUBTOTAL, Strategy 2-1-2</b>	<b>\$0</b>	<b>\$2,000</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>SUBTOTAL, GENERAL REVENUE FUNDS</b>	<b>\$0</b>	<b>\$2,000</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>
<b>TOTAL, Method of Financing</b>	<b>\$0</b>	<b>\$2,000</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>

**Description of IT Component Included in New or Expanded Initiative:**

Design, develop, and test the addition of a field to the database to designate that a PAC is a Hybrid PAC. The work entails modifying the Filing Application Manager (FAM) to add a checkbox to a PAC profile page and adding a new field to the database.

Is this IT component a New or Current Project? New

**FTEs related to IT Component?**

	Exp 2019	Bud 2020	Est 2021	Est 2022	Est 2023
	0.0	0.0	0.0	0.0	0.0

**Proposed Software:**

Enhancements to current filing software.

**4.F. Part A Budgetary Impacts Related to Recently Enacted State Legislation Schedule**  
 86th Regular Session, Fiscal Year 2020 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/15/2019  
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Agency code: 356

Agency name: Texas Ethics Commission

**Exp 2019      Bud 2020      Est 2021      Est 2022      Est 2023**

**Proposed Hardware:**

No new acquisitions

**Development Cost and Other Costs:**

This enhancement will take approximately 16 hours at a rate of \$125/hour for a one-time cost of \$2,000 in FY 2020. We will use exceptional item funds appropriated in the 86th regular session for enhancement hours.

**Type of Project:**

Application Remediation

**Estimated IT Cost:**

<b>Exp 2019</b>	<b>Bud 2020</b>	<b>Est 2021</b>	<b>Est 2022</b>	<b>Est 2023</b>	<b>Total Over Life of Project</b>
\$0	\$2,000	\$0	\$0	\$0	\$2,000



**4.F. Part A Budgetary Impacts Related to Recently Enacted State Legislation Schedule**  
 86th Regular Session, Fiscal Year 2020 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/15/2019  
 TIME: 11:33:21AM

Agency code: 356

Agency name: Texas Ethics Commission

	Exp 2019	Bud 2020	Est 2021	Est 2022	Est 2023
<b>Expanded or New Initiative:</b> 5. Enhancements to filing system to redact a judge's home address from Title 15 reports filed by that judge					
<b>Legal Authority for Item:</b> Section 254.0313, Subchapter B, Chapter 254, Election Code, as amended by SB489, 86th Regular Session					
<b>Description/Key Assumptions (including start up/implementation costs and ongoing costs):</b> SB489 (86R) requires the Ethics Commission to make enhancement to the filing system to enable redaction of judicial addresses as it pertains to Title 15. The Commission would purchase 1,200 enhancement hours at a current rate of \$125 per hour. There is an initial one-time implementation cost of \$150,000 to make those enhancements. Any future enhancements would be an additional charge at the hourly rate in place at the time.					
<b>State Budget by Program:</b> Information Resources					
<b>IT Component:</b> Yes					
<b>Involve Contracts &gt; \$50,000:</b> Yes					
<b>Objects of Expense</b>					
Strategy: 2-1-2 INFORMATION RESOURCES					
2009 OTHER OPERATING EXPENSE	\$0	\$150,000	\$0	\$0	\$0
SUBTOTAL, Strategy 2-1-2	\$0	\$150,000	\$0	\$0	\$0
TOTAL, Objects of Expense	\$0	\$150,000	\$0	\$0	\$0
<b>Method of Financing</b>					
GENERAL REVENUE FUNDS					
Strategy: 2-1-2 INFORMATION RESOURCES					
1 General Revenue Fund	\$0	\$150,000	\$0	\$0	\$0
SUBTOTAL, Strategy 2-1-2	\$0	\$150,000	\$0	\$0	\$0
SUBTOTAL, GENERAL REVENUE FUNDS	\$0	\$150,000	\$0	\$0	\$0
TOTAL, Method of Financing	\$0	\$150,000	\$0	\$0	\$0

**Description of IT Component Included in New or Expanded Initiative:**

Design, develop, and test the redaction of a judge's home address from that judge's scrubbed campaign finance report PDF and CSV file, and from the campaign finance downloadable database. The work entails modifying the campaign finance filing application navigation data entry pages so that a judge can indicate that an address is his/her home address, modifying the PDF and CSV rendering algorithms, modifying the algorithms that produce the downloadable database files, modifying the Filing Application Manager (FAM) so that TEC staff can indicate that redaction is required for a specific judicial filer, and entails adding new fields to the database.

**4.F. Part A Budgetary Impacts Related to Recently Enacted State Legislation Schedule**  
 86th Regular Session, Fiscal Year 2020 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/15/2019  
 TIME: 11:33:21AM

Agency code: 356

Agency name: Texas Ethics Commission

	<b>Exp 2019</b>	<b>Bud 2020</b>	<b>Est 2021</b>	<b>Est 2022</b>	<b>Est 2023</b>
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Is this IT component a New or Current Project?      New

**FTEs related to IT Component?**

<b>Exp 2019</b>	<b>Bud 2020</b>	<b>Est 2021</b>	<b>Est 2022</b>	<b>Est 2023</b>
0.0	0.0	0.0	0.0	0.0

**Proposed Software:**

Enhancements to current filing software.

**Proposed Hardware:**

No new acquisition.

**Development Cost and Other Costs:**

This enhancement will take approximately 1200 hours at a rate of \$125/hour for a one-time cost of \$150,000 in FY 2020. We will use exceptional item funds appropriated in the 86th regular session for enhancement hours.

**Type of Project:**

Application Remediation

**Estimated IT Cost:**

<b>Exp 2019</b>	<b>Bud 2020</b>	<b>Est 2021</b>	<b>Est 2022</b>	<b>Est 2023</b>	<b>Total Over Life of Project</b>
\$0	\$150,000	\$0	\$0	\$0	\$150,000

**Contract Description:**

SB489 (86R) requires the Ethics Commission to make enhancement to the filing system to enable redaction of judicial addresses as it pertains to Title 15. The Commission would purchase 1,200 enhancement hours at a current rate of \$125 per hour. There is an initial one-time implementation cost of \$150,000 to make those enhancements. Any future enhancements would be an additional charge at the hourly rate in place at the time.

**Approximate Percentage of Expanded or New Initiative Contracted in FYs 2020-21:**      100.0%

**4.F. Part B Summary of Costs Related to Recently Enacted State Legislation Schedule**  
 86th Regular Session, Fiscal Year 2020 Operating Budget  
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/15/2019  
 TIME: 11:33:35AM

Agency code: 356

Agency name: Texas Ethics Commission

ITEM	EXPANDED OR NEW INITIATIVE	Exp 2019	Bud 2020	Est 2021	Est 2022	Est 2023
1	Complaints filed with and certain other filings submitted to the Texas Ethics Commission.	\$6,600	\$148,365	\$141,739	\$141,739	\$141,739
2	Enhancements to the filing application to allow information required to be disclosed in a registration form for lobbyist (FARA)	\$0	\$10,000	\$0	\$0	\$0
3	Enhancements to filing system to require that contributions made electronically must be itemized	\$0	\$1,500	\$0	\$0	\$0
4	Enhancements to filing system to allow for designation as a Hybrid PAC	\$0	\$2,000	\$0	\$0	\$0
5	Enhancements to filing system to redact a judge's home address from Title 15 reports filed by that judge	\$0	\$150,000	\$0	\$0	\$0
<b>Total, Cost Related to Expanded or New Initiatives</b>		<b>\$6,600</b>	<b>\$311,865</b>	<b>\$141,739</b>	<b>\$141,739</b>	<b>\$141,739</b>
<b>METHOD OF FINANCING</b>						
	GENERAL REVENUE FUNDS	\$6,600	\$311,865	\$141,739	\$141,739	\$141,739
<b>Total, Method of Financing</b>		<b>\$6,600</b>	<b>\$311,865</b>	<b>\$141,739</b>	<b>\$141,739</b>	<b>\$141,739</b>
<b>FULL-TIME-EQUIVALENTS (FTES):</b>		<b>0.0</b>	<b>2.0</b>	<b>2.0</b>	<b>2.0</b>	<b>2.0</b>