

Operating Budget

For Fiscal Year 2022



Submitted to the
Office of the Governor, Budget and Policy Division,
And the Legislative Budget Board

By

Texas Ethics Commission
December 1, 2021

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CERTIFICATE

Agency Name Texas Ethics Commission

This is to certify that the information contained in the agency operating budget filed with the Legislative Budget Board (LBB) and the Office of the Governor, Budget and Policy Division, is accurate to the best of my knowledge and that the electronic submission to the LBB via the Automated Budget and Evaluation System of Texas (ABEST) and the PDF file submitted via the LBB Document Submission application are identical.

Additionally, should it become likely at any time that unexpended balances will accrue for any account, the LBB and the Office of the Governor will be notified in writing in accordance with Senate Bill 1, Article IX, Section 7.01, Eighty-seventh Legislature, Regular Session, 2021.

Chief Executive Office or Presiding Judge

Anne Temple Peters
Signature

Anne Temple Peters
Printed Name

Executive Director
Title

11/19/21
Date

Board or Commission Chair

Mary K. Kennedy
Signature

Mary K. "Katie" Kennedy
Printed Name

Chair
Title

11-19-2021
Date

Chief Financial Officer

Cristina Hernández
Signature

Cristina Hernández
Printed Name

Director of Finance and Administration
Title

11/29/2021
Date

Operating Budget

For Fiscal Year 2022

Submitted to the
Office of the Governor, Budget and Policy Division,
And the Legislative Budget Board

By

Texas Ethics Commission
December 1, 2021

Budget Overview
87th Regular Session, Fiscal Year 2022 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

356 Texas Ethics Commission

	GENERAL REVENUE FUNDS									ALL FUNDS	
	2021	2022	2021	2022	2021	2022	2021	2022	2021	2022	
Goal: 1. Administer Public Disclosure/Ethics Laws											
1.1.1. Disclosure Filing	314,522	341,710							314,522	341,710	
1.1.2. Legal Guidance And Opinions	549,008	509,625							549,008	509,625	
1.1.3. Enforcement	795,205	874,204							795,205	874,204	
Total, Goal	1,658,735	1,725,539							1,658,735	1,725,539	
Goal: 2. Indirect Administration											
2.1.1. Central Administration	412,990	416,637							412,990	416,637	
2.1.2. Information Resources	1,099,243	1,309,582							1,099,243	1,309,582	
Total, Goal	1,512,233	1,726,219							1,512,233	1,726,219	
Total, Agency	3,170,968	3,451,758							3,170,968	3,451,758	
Total FTEs									28.0	34.4	

2.A. Summary of Budget By Strategy

DATE : 11/17/2021

TIME : 7:13:53PM

87th Regular Session, Fiscal Year 2022 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: 356 Agency name: Texas Ethics Commission

Goal/Objective/STRATEGY	EXP 2020	EXP 2021	BUD 2022
1 Administer Public Disclosure/Ethics Laws			
1 Access Required Reports; Respond to Advisory Reqs & Sworn Complaints			
1 DISCLOSURE FILING	\$264,667	\$314,522	\$341,710
2 LEGAL GUIDANCE AND OPINIONS	\$482,539	\$549,008	\$509,625
3 ENFORCEMENT	\$711,999	\$795,205	\$874,204
TOTAL, GOAL 1	\$1,459,205	\$1,658,735	\$1,725,539
2 Indirect Administration			
1 Indirect Administration			
1 CENTRAL ADMINISTRATION	\$424,102	\$412,990	\$416,637
2 INFORMATION RESOURCES	\$1,155,948	\$1,099,243	\$1,309,582
TOTAL, GOAL 2	\$1,580,050	\$1,512,233	\$1,726,219

2.A. Summary of Budget By Strategy

DATE : 11/17/2021

TIME : 7:13:53PM

87th Regular Session, Fiscal Year 2022 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: 356 Agency name: Texas Ethics Commission

Goal/Objective/STRATEGY	EXP 2020	EXP 2021	BUD 2022
General Revenue Funds:			
1 General Revenue Fund	\$3,039,255	\$3,170,968	\$3,451,758
	\$3,039,255	\$3,170,968	\$3,451,758
TOTAL, METHOD OF FINANCING	\$3,039,255	\$3,170,968	\$3,451,758
FULL TIME EQUIVALENT POSITIONS	26.5	28.0	34.4

2.B. Summary of Budget By Method of Finance
87th Regular Session, Fiscal Year 2022 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

DATE: **11/18/2021**
TIME: **11:45:42AM**

Agency code: **356** Agency name: **Texas Ethics Commission**

METHOD OF FINANCING	Exp 2020	Exp 2021	Bud 2022
<u>GENERAL REVENUE</u>			
<u>1</u> General Revenue Fund			
REGULAR APPROPRIATIONS			
Regular Appropriations from MOF Table (2020-21 GAA)	\$3,656,936	\$3,466,936	\$0
Regular Appropriations from MOF Table (2022-23 GAA)	\$0	\$0	\$3,175,558
RIDER APPROPRIATION			
Art. IX, Sec. 8.02- Reimbursements and Payments	\$6,429	\$5,977	\$1,200
Comments: Collected Revenue for copy orders			
SUPPLEMENTAL, SPECIAL OR EMERGENCY APPROPRIATIONS			
HB 2, 87th Leg, Regular Session	\$0	\$275,000	\$0
Comments: Supplemental Appropriation for Enhancement Hours			
LAPSED APPROPRIATIONS			
lapsed Appropriations	\$(624,110)	\$(301,945)	\$0

2.B. Summary of Budget By Method of Finance
87th Regular Session, Fiscal Year 2022 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

DATE: **11/18/2021**
TIME: **11:45:42AM**

Agency code: **356** Agency name: **Texas Ethics Commission**

METHOD OF FINANCING	Exp 2020	Exp 2021	Bud 2022
Comments: FY 2020 Lapses:			
Total Lapsed: \$624,110.44			
13001= \$44,372.92 (Salary/unfilled); \$8,982.14 (retirement); \$8505.43 (consumables); \$6429.26 (Rev Collected);			
13002= \$1,088.24 (other operating Exp);			
13003= \$24,264.63 (Salaries from LGO unfilled); \$142,556.65 (legal services); \$13,249.29 (travel-Covid-19 & Gov's Order Open meetings Act); \$15,580.39 (Consumables, utilities and other operating Exp.);			
13007= \$111,095.51 (Salaries-unfilled); \$51,378.05 (other operating expenses);			
13800= \$9,226.46 (Salaries - unfilled); \$5,756.31 (other operating exp.);			
56002= \$38,438.09 (salary-unfilled);			
56004= \$23,574.80 (CAPPS Rider Network Switches- unit was cheaper than expected) and			
56005= \$55,000.00 (Other operating costs, did not use the rider - entered into a yearly subscription instead)			
Summary of FY 2021 Lapses:			
Total Lapsed: \$301,945.04			
13001= \$5,977.36 (Collected Revenue)			

2.B. Summary of Budget By Method of Finance
87th Regular Session, Fiscal Year 2022 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

DATE: **11/18/2021**
TIME: **11:45:42AM**

Agency code: **356** Agency name: **Texas Ethics Commission**

METHOD OF FINANCING	Exp 2020	Exp 2021	Bud 2022
\$16,214.51(Salaries unfilled)			
\$1,430.73 (Consumables)			
\$3,343.06 (Other Operating Exp)			
13002= \$2,339.95 (excess Trns in for salary shortfall)			
\$1,633.80 (other operating exp)			
13003= \$32,061.64 (Salaries)			
\$57,755.98 (Legal Services/Prof Fees)			
\$292.76 (Consumables)			
\$146.82 (Utilities)			
\$17,088.14 (Travel-Covid-19 & Gov's Order Open meetings Act);)			
\$287.01 (Rent/Machines)			
\$6,457.12 (Other Operating Exp)			
13007= \$25,661.09 (Salaries unfilled)			
\$240 (Other Personnel Costs)			
\$4,501.16 (Professional Fees)			
\$21.85 (Utilities)			
\$41,864 (Other Operating Exp)			
13800= \$0.08 (salaries rounded)			
\$18,669.54 (Other Personnel Costs)			
\$565.50 (Prof. Fees)			
\$39.87 (Consumables)			
\$168.06 (Rent Machines)			
\$390.96 (Other Operating Exp)			
26005= \$64,774.05 (Unexpended Retirement Rider)			
UNEXPENDED BALANCES AUTHORITY			
HB 2, 87th Leg, Regular Session	\$0	\$(275,000)	\$275,000

2.B. Summary of Budget By Method of Finance
87th Regular Session, Fiscal Year 2022 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

DATE: **11/18/2021**
TIME: **11:45:42AM**

Agency code: **356** Agency name: **Texas Ethics Commission**

METHOD OF FINANCING		Exp 2020	Exp 2021	Bud 2022
Comments: UB Forward HB2 Supplemental for Enhancement Hours From FY 2021 into FY 2022				
TOTAL,	General Revenue Fund			
		\$3,039,255	\$3,170,968	\$3,451,758
TOTAL, ALL	GENERAL REVENUE			
		\$3,039,255	\$3,170,968	\$3,451,758
GRAND TOTAL				
		\$3,039,255	\$3,170,968	\$3,451,758

FULL-TIME-EQUIVALENT POSITIONS

REGULAR APPROPRIATIONS

Regular Appropriations from MOF Table (2020-21 GAA)	35.4	34.4	0.0
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Comments: CAPPS HR FTE active for FY20 only

Regular Appropriations from MOF Table (2022-23 GAA)	0.0	0.0	34.4
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UNAUTHORIZED NUMBER OVER (BELOW) CAP

Unathorized Number over (Below) cap 2020-2021 GAA	(8.9)	(6.4)	0.0
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Comments: FTE difference related to positions not filled due to unqualified applicants or lack of interest due to salary.

TOTAL, ADJUSTED FTES	26.5	28.0	34.4
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NUMBER OF 100% FEDERALLY FUNDED FTEs

2.C. Summary of Budget By Object of Expense
87th Regular Session, Fiscal Year 2022 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/17/2021
TIME: 7:27:30PM

Agency code: 356

Agency name: Texas Ethics Commission

OBJECT OF EXPENSE		EXP 2020	EXP 2021	BUD 2022
1001	SALARIES AND WAGES	\$1,709,676	\$1,810,723	\$1,902,984
1002	OTHER PERSONNEL COSTS	\$242,792	\$256,981	\$211,784
2001	PROFESSIONAL FEES AND SERVICES	\$167,974	\$189,388	\$314,050
2003	CONSUMABLE SUPPLIES	\$4,458	\$3,693	\$7,845
2004	UTILITIES	\$1,338	\$1,362	\$1,465
2005	TRAVEL	\$10,048	\$2,912	\$15,000
2006	RENT - BUILDING	\$700	\$1,092	\$985
2007	RENT - MACHINE AND OTHER	\$11,606	\$10,955	\$9,447
2009	OTHER OPERATING EXPENSE	\$869,513	\$893,862	\$988,198
5000	CAPITAL EXPENDITURES	\$21,150	\$0	\$0
Agency Total		\$3,039,255	\$3,170,968	\$3,451,758

2.D. Summary of Budget By Objective Outcomes
87th Regular Session, Fiscal Year 2022 Operating Budget
Automated Budget and Evaluation system of Texas (ABEST)

Date : 11/17/2021
Time: 7:28:12PM

Agency code: 356 Agency name: Texas Ethics Commission

Goal/ Objective / OUTCOME		Exp 2020	Exp 2021	Bud2022
1	Administer Public Disclosure/Ethics Laws			
	<i>1 Access Required Reports; Respond to Advisory Reqs & Sworn Complaints</i>			
	1 Percent of Reports Available for Public Inspection within Two Days	99.97 %	99.98 %	99.90 %
	2 % of Sworn Complaints Processed within Five Working Days After Filing	100.00 %	100.00 %	100.00 %
KEY	4 Percent of Advisory Opinion Requests Answered	71.43 %	100.00 %	90.00 %

3.A. Strategy Level Detail

DATE: 11/17/2021

TIME: 7:28:52PM

87th Regular Session, Fiscal Year 2022 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **356** Agency name: **Texas Ethics Commission**

GOAL: 1 Administer Public Disclosure/Ethics Laws

OBJECTIVE: 1 Access Required Reports; Respond to Advisory Reqs & Sworn Complaints

Service Categories:

STRATEGY: 1 Serve as the Repository for Statutorily Required Information

Service: 02 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2020	EXP 2021	BUD 2022
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Output Measures:

KEY	1	Number of Reports Logged within Two Working Days of Receipt	92,485.00	94,972.00	92,485.00
	2	Number of Reports Received and Processed	92,510.00	94,994.00	92,510.00

Explanatory/Input Measures:

	1	Number of Inquiries for Information	914,260.00	976,768.00	976,768.00
	2	Number of Lobbyists Registered with the Commission	1,556.00	1,803.00	1,561.00
	3	# of Public Officials Required to File Reports with the Commission	2,825.00	2,712.00	2,825.00
	4	Number of Reports Due Filed Electronically	92,242.00	94,782.00	95,256.00

Objects of Expense:

1001	SALARIES AND WAGES	\$205,900	\$239,799	\$261,500
1002	OTHER PERSONNEL COSTS	\$27,428	\$40,240	\$41,934
2001	PROFESSIONAL FEES AND SERVICES	\$246	\$247	\$250
2003	CONSUMABLE SUPPLIES	\$1,295	\$1,569	\$4,700
2004	UTILITIES	\$13	\$0	\$20
2005	TRAVEL	\$0	\$0	\$0
2006	RENT - BUILDING	\$322	\$596	\$600
2007	RENT - MACHINE AND OTHER	\$6,384	\$5,926	\$6,000
2009	OTHER OPERATING EXPENSE	\$23,079	\$26,145	\$26,706
5000	CAPITAL EXPENDITURES	\$0	\$0	\$0
TOTAL, OBJECT OF EXPENSE		\$264,667	\$314,522	\$341,710

Method of Financing:

1	General Revenue Fund	\$264,667	\$314,522	\$341,710
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$264,667	\$314,522	\$341,710

3.A. Strategy Level Detail

DATE: 11/17/2021

TIME: 7:28:52PM

87th Regular Session, Fiscal Year 2022 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **356** Agency name: **Texas Ethics Commission**

GOAL: 1 Administer Public Disclosure/Ethics Laws

OBJECTIVE: 1 Access Required Reports; Respond to Advisory Reqs & Sworn Complaints

Service Categories:

STRATEGY: 1 Serve as the Repository for Statutorily Required Information

Service: 02 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2020	EXP 2021	BUD 2022
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TOTAL, METHOD OF FINANCE :		\$264,667	\$314,522	\$341,710
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FULL TIME EQUIVALENT POSITIONS:		4.9	5.9	6.0
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3.A. Strategy Level Detail

DATE: 11/17/2021

TIME: 7:28:52PM

87th Regular Session, Fiscal Year 2022 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **356** Agency name: **Texas Ethics Commission**

GOAL: 1 Administer Public Disclosure/Ethics Laws

OBJECTIVE: 1 Access Required Reports; Respond to Advisory Reqs & Sworn Complaints

Service Categories:

STRATEGY: 2 Respond to Requests for Guidance/Advisory Opinions

Service: 01 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2020	EXP 2021	BUD 2022
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Output Measures:

1	Number of Advisory Opinions Adopted by the Commission	6.00	6.00	16.00
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Efficiency Measures:

KEY 1	Average Time (Working Days) to Answer Advisory Opinion Requests	76.00	56.00	74.00
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Explanatory/Input Measures:

1	Number of Information Requests Regarding Commission Administered Laws	8,257.00	15,653.00	15,653.00
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2	Number of Advisory Opinion Requests Received	8.00	15.00	50.00
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Objects of Expense:

1001	SALARIES AND WAGES	\$397,850	\$463,441	\$466,818
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1002	OTHER PERSONNEL COSTS	\$55,872	\$55,447	\$19,453
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2001	PROFESSIONAL FEES AND SERVICES	\$799	\$824	\$0
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2003	CONSUMABLE SUPPLIES	\$542	\$262	\$545
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2004	UTILITIES	\$(13)	\$0	\$0
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2005	TRAVEL	\$0	\$0	\$0
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2006	RENT - BUILDING	\$120	\$120	\$125
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2007	RENT - MACHINE AND OTHER	\$580	\$584	\$700
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2009	OTHER OPERATING EXPENSE	\$26,789	\$28,330	\$21,984
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5000	CAPITAL EXPENDITURES	\$0	\$0	\$0
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TOTAL, OBJECT OF EXPENSE		\$482,539	\$549,008	\$509,625
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Method of Financing:

1	General Revenue Fund	\$482,539	\$549,008	\$509,625
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SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$482,539	\$549,008	\$509,625
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3.A. Strategy Level Detail

DATE: 11/17/2021

TIME: 7:28:52PM

87th Regular Session, Fiscal Year 2022 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **356** Agency name: **Texas Ethics Commission**

GOAL: 1 Administer Public Disclosure/Ethics Laws

OBJECTIVE: 1 Access Required Reports; Respond to Advisory Reqs & Sworn Complaints

STRATEGY: 2 Respond to Requests for Guidance/Advisory Opinions

Service Categories:

Service: 01 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2020	EXP 2021	BUD 2022
TOTAL, METHOD OF FINANCE :		\$482,539	\$549,008	\$509,625
FULL TIME EQUIVALENT POSITIONS:		5.6	6.3	6.0

3.A. Strategy Level Detail

DATE: 11/17/2021

TIME: 7:28:52PM

87th Regular Session, Fiscal Year 2022 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **356** Agency name: **Texas Ethics Commission**

GOAL: 1 Administer Public Disclosure/Ethics Laws

OBJECTIVE: 1 Access Required Reports; Respond to Advisory Reqs & Sworn Complaints

Service Categories:

STRATEGY: 3 Respond to Complaints and Enforce Applicable Statutes

Service: 01 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2020	EXP 2021	BUD 2022
Output Measures:				
	1 Number of Sworn Complaints Recommended for Resolution	94.00	84.00	89.00
	2 # of Sworn Complaints Processed within Five Working Days after Filing	223.00	287.00	249.30
KEY	3 Number of Sworn Complaints Processed	223.00	287.00	249.30
	4 Number of Late Notices Sent to Filers	2,529.00	2,537.00	2,529.00
	5 % Sworn Complaints W/ Proposed Resolution/dismissal Within 120 Days	99.00 %	100.00 %	99.50 %
Efficiency Measures:				
KEY	1 Average Time (Working Days) to Respond to Sworn Complaints	4.26	3.79	4.17
	2 Average Time to Notify Late Filer of Late Report	19.00	25.00	19.00
	3 Avg Time to Notify Complainants & Respondents/Commission Determination	3.12	2.82	2.97
Explanatory/Input Measures:				
	1 Number of Sworn Complaints Received	230.00	279.00	254.50
	2 Percent of Filers Filing Timely Reports	93.00 %	95.00 %	93.00 %
	3 Number of Late Penalties Received	420.00	397.00	414.00
	4 Percent of Sworn Complaints Resolved within 180 Days	86.72 %	95.12 %	90.92 %
	5 % Complaints Dismissed B/c Resolution Not Proposed Within 120 Days	0.00 %	0.00 %	0.00 %
Objects of Expense:				
1001	SALARIES AND WAGES	\$438,527	\$442,491	\$442,492
1002	OTHER PERSONNEL COSTS	\$72,570	\$78,255	\$89,082
2001	PROFESSIONAL FEES AND SERVICES	\$158,304	\$180,413	\$300,560
2003	CONSUMABLE SUPPLIES	\$1,282	\$997	\$1,400
2004	UTILITIES	\$937	\$954	\$1,001
2005	TRAVEL	\$10,048	\$2,912	\$15,000
2006	RENT - BUILDING	\$0	\$0	\$0
2007	RENT - MACHINE AND OTHER	\$4,062	\$3,875	\$2,000

3.A. Strategy Level Detail

DATE: 11/17/2021

TIME: 7:28:52PM

87th Regular Session, Fiscal Year 2022 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

Agency code: **356** Agency name: **Texas Ethics Commission**

GOAL: 1 Administer Public Disclosure/Ethics Laws

OBJECTIVE: 1 Access Required Reports; Respond to Advisory Reqs & Sworn Complaints

STRATEGY: 3 Respond to Complaints and Enforce Applicable Statutes

Service Categories:

Service: 01 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2020	EXP 2021	BUD 2022
2009	OTHER OPERATING EXPENSE	\$26,269	\$85,308	\$22,669
5000	CAPITAL EXPENDITURES	\$0	\$0	\$0
TOTAL, OBJECT OF EXPENSE		\$711,999	\$795,205	\$874,204
Method of Financing:				
1	General Revenue Fund	\$711,999	\$795,205	\$874,204
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$711,999	\$795,205	\$874,204
TOTAL, METHOD OF FINANCE :		\$711,999	\$795,205	\$874,204
FULL TIME EQUIVALENT POSITIONS:		6.0	6.4	9.4

3.A. Strategy Level Detail

87th Regular Session, Fiscal Year 2022 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/17/2021
TIME: 7:28:52PM

Agency code: **356** Agency name: **Texas Ethics Commission**

GOAL: 2 Indirect Administration

OBJECTIVE: 1 Indirect Administration

STRATEGY: 1 Central Administration

Service Categories:

Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2020	EXP 2021	BUD 2022
Objects of Expense:				
1001	SALARIES AND WAGES	\$350,643	\$361,950	\$361,214
1002	OTHER PERSONNEL COSTS	\$35,035	\$37,022	\$39,315
2001	PROFESSIONAL FEES AND SERVICES	\$4,922	\$3,681	\$4,740
2003	CONSUMABLE SUPPLIES	\$1,115	\$560	\$1,000
2004	UTILITIES	\$13	\$0	\$14
2005	TRAVEL	\$0	\$0	\$0
2006	RENT - BUILDING	\$0	\$0	\$0
2007	RENT - MACHINE AND OTHER	\$464	\$432	\$622
2009	OTHER OPERATING EXPENSE	\$10,760	\$9,345	\$9,732
5000	CAPITAL EXPENDITURES	\$21,150	\$0	\$0
TOTAL, OBJECT OF EXPENSE		\$424,102	\$412,990	\$416,637
Method of Financing:				
1	General Revenue Fund	\$424,102	\$412,990	\$416,637
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$424,102	\$412,990	\$416,637
TOTAL, METHOD OF FINANCE :		\$424,102	\$412,990	\$416,637
FULL TIME EQUIVALENT POSITIONS:		5.0	5.0	5.0

3.A. Strategy Level Detail

87th Regular Session, Fiscal Year 2022 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/17/2021
TIME: 7:28:52PM

Agency code: **356** Agency name: **Texas Ethics Commission**

GOAL: 2 Indirect Administration

OBJECTIVE: 1 Indirect Administration

STRATEGY: 2 Information Resources

Service Categories:

Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2020	EXP 2021	BUD 2022
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Objects of Expense:

1001	SALARIES AND WAGES	\$316,756	\$303,042	\$370,960
1002	OTHER PERSONNEL COSTS	\$51,887	\$46,017	\$22,000
2001	PROFESSIONAL FEES AND SERVICES	\$3,703	\$4,223	\$8,500
2003	CONSUMABLE SUPPLIES	\$224	\$305	\$200
2004	UTILITIES	\$388	\$408	\$430
2005	TRAVEL	\$0	\$0	\$0
2006	RENT - BUILDING	\$258	\$376	\$260
2007	RENT - MACHINE AND OTHER	\$116	\$138	\$125
2009	OTHER OPERATING EXPENSE	\$782,616	\$744,734	\$907,107
TOTAL, OBJECT OF EXPENSE		\$1,155,948	\$1,099,243	\$1,309,582

Method of Financing:

1	General Revenue Fund	\$1,155,948	\$1,099,243	\$1,309,582
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$1,155,948	\$1,099,243	\$1,309,582
TOTAL, METHOD OF FINANCE :		\$1,155,948	\$1,099,243	\$1,309,582
FULL TIME EQUIVALENT POSITIONS:		5.0	4.4	8.0

3.A. Strategy Level Detail

DATE: 11/17/2021

TIME: 7:28:52PM

87th Regular Session, Fiscal Year 2022 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

SUMMARY TOTALS:

OBJECTS OF EXPENSE:	\$3,039,255	\$3,170,968	\$3,451,758
METHODS OF FINANCE :	\$3,039,255	\$3,170,968	\$3,451,758
FULL TIME EQUIVALENT POSITIONS:	26.5	28.0	34.4

III.B. Sub-strategy Detail

Agency Code: 356	Agency Name: Texas Ethics Commission	Prepared By: Cristina Hernandez		
AGENCY GOAL: NA				
OBJECTIVE:				
STRATEGY:				
SUB-STRATEGY:				
Code	Sub-strategy Detail	Expended 2020	Expended 2021	Budgeted 2022
	Objects of Expense:			
	Total, Objects of Expense	\$0	\$0	\$0
	Method of Financing:			
	Total, Method of Financing	\$0	\$0	\$0
Number of Positions (FTE)				
Sub-strategy Description:				

III.C. Sub-strategy Summary

Agency Code: 356	Agency Name: Texas Ethics Commission	Prepared By: Cristina Hernandez		Strategy Code:
AGENCY GOAL: NA				
OBJECTIVE:				
STRATEGY:				
SUB-STRATEGY SUMMARY				
Code	Sub-strategies	Expended 2020	Expended 2021	Budgeted 2022
	Total, Sub-strategies	\$0	\$0	\$0

Agency code: 356

Agency name: Texas Ethics Commission

Category Code / Category Name

Project Sequence/Project Id/ Name

OOE / TOF / MOF CODE

EXP 2020

EXP 2021

BUD 2022

5005 Acquisition of Information Resource Technologies

1/1 Vendor Support for Electronic Filing &
Disclosure Database Systems

OBJECTS OF EXPENSE

Informational

2009 OTHER OPERATING EXPENSE

\$447,890

\$447,890

\$447,890

Informational Subtotal OOE, Project 1

\$447,890

\$447,890

\$447,890

Subtotal OOE, Project 1

\$447,890

\$447,890

\$447,890

TYPE OF FINANCING

Informational

CA 1 General Revenue Fund

\$447,890

\$447,890

\$447,890

Informational Subtotal TOF, Project 1

\$447,890

\$447,890

\$447,890

Subtotal TOF, Project 1

\$447,890

\$447,890

\$447,890

2/2 Enhancements to Electronic Filing System

OBJECTS OF EXPENSE

Informational

2009 OTHER OPERATING EXPENSE

\$137,500

\$137,500

\$275,000

Informational Subtotal OOE, Project 2

\$137,500

\$137,500

\$275,000

Subtotal OOE, Project 2

\$137,500

\$137,500

\$275,000

TYPE OF FINANCING

Informational

CA 1 General Revenue Fund

\$137,500

\$137,500

\$275,000

Informational Subtotal TOF, Project 2

\$137,500

\$137,500

\$275,000

Subtotal TOF, Project 2

\$137,500

\$137,500

\$275,000

3/3 Case Management Maintenance

4.A. Capital Budget Project Schedule
87th Regular Session, Fiscal Year 2022 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/17/2021
TIME : 7:29:41PM

Agency code: 356

Agency name: Texas Ethics Commission

Category Code / Category Name

Project Sequence/Project Id/ Name

OOE / TOF / MOF CODE

EXP 2020

EXP 2021

BUD 2022

OBJECTS OF EXPENSE

Informational

1002 OTHER PERSONNEL COSTS

\$22,000

\$22,000

\$22,000

Informational Subtotal OOE, Project 3

\$22,000

\$22,000

\$22,000

Subtotal OOE, Project 3

\$22,000

\$22,000

\$22,000

TYPE OF FINANCING

Informational

CA 1 General Revenue Fund

\$22,000

\$22,000

\$22,000

Informational Subtotal TOF, Project 3

\$22,000

\$22,000

\$22,000

Subtotal TOF, Project 3

\$22,000

\$22,000

\$22,000

Capital Subtotal, Category 5005

Informational Subtotal, Category 5005

\$607,390

\$607,390

\$744,890

Total, Category 5005

\$607,390

\$607,390

\$744,890

AGENCY TOTAL -CAPITAL

AGENCY TOTAL -INFORMATIONAL

\$607,390

\$607,390

\$744,890

AGENCY TOTAL

\$607,390

\$607,390

\$744,890

METHOD OF FINANCING:

Informational

1 General Revenue Fund

\$607,390

\$607,390

\$744,890

Total, Method of Financing-Informational

\$607,390

\$607,390

\$744,890

Total, Method of Financing

\$607,390

\$607,390

\$744,890

Agency code: 356 Agency name: Texas Ethics Commission

Category Code / Category Name				
Project Sequence/Project Id/ Name				
OOE / TOF / MOF CODE		EXP 2020	EXP 2021	BUD 2022
TYPE OF FINANCING:				
<u>Informational</u>				
CA	CURRENT APPROPRIATIONS	\$607,390	\$607,390	\$744,890
Total, Type of Financing-Informational		\$607,390	\$607,390	\$744,890
Total,Type of Financing		\$607,390	\$607,390	\$744,890

Capital Budget Allocation to Strategies
87th Regular Session, Fiscal Year 2022 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

DATE: **11/17/2021**
TIME: **7:30:11PM**

Agency code: **356** Agency name: **Texas Ethics Commission**

Category Code/Name

Project Sequence/Project Id/Name

	Goal/Obj/Str	Strategy Name	EXP 2020	EXP 2021	BUD 2022
5005 Acquisition of Information Resource Technologies					
	<i>1/1</i>	<i>Vendor Support</i>			
Informational	2-1-2	INFORMATION RESOURCES	447,890	447,890	\$447,890
		TOTAL, PROJECT	\$447,890	\$447,890	\$447,890
	<i>2/2</i>	<i>Enhancements to E-Filing System</i>			
Informational	2-1-2	INFORMATION RESOURCES	137,500	137,500	275,000
		TOTAL, PROJECT	\$137,500	\$137,500	\$275,000
	<i>3/3</i>	<i>Case Management Maintenance</i>			
Informational	2-1-2	INFORMATION RESOURCES	22,000	22,000	22,000
		TOTAL, PROJECT	\$22,000	\$22,000	\$22,000
		TOTAL CAPITAL, ALL PROJECTS			
		TOTAL INFORMATIONAL, ALL PROJECTS	\$607,390	\$607,390	\$744,890
		TOTAL, ALL PROJECTS	\$607,390	\$607,390	\$744,890

4.D. Estimated Revenue Collections Supporting Schedule
87th Regular Session, Fiscal Year 2022 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/18/2021
TIME: 9:37:32AM

Agency Code: **356** Agency name: **Texas Ethics Commission**

FUND/ACCOUNT	Exp 2020	Est 2021	Est 2022
<u>1</u> General Revenue Fund			
Beginning Balance (Unencumbered):	\$0	\$0	\$0
Estimated Revenue:			
3719 Fees/Copies or Filing of Records	6,429	5,977	1,200
Subtotal: Estimated Revenue	6,429	5,977	1,200
Total Available	\$6,429	\$5,977	\$1,200
DEDUCTIONS:			
Revenue collected for copy orders	(6,429)	(5,977)	(1,200)
Total, Deductions	\$(6,429)	\$(5,977)	\$(1,200)
Ending Fund/Account Balance	\$0	\$0	\$0

REVENUE ASSUMPTIONS:

Revenue collected is compromised of the amounts charged to customers for providing copies of information maintained by the agency (i.e., paper copies of reports with the agency or disks containing information from agency databases.) The agency has no control over the number, size, or amounts of copy orders that will be requested. (COBJ 3719).

The budgeted copy order revenue for FY 2022 is zero based on the 86th Legislature's approval of the agency's exceptional rider request to remove appropriated receipts going forward.

CONTACT PERSON:

Cristina Hernandez

4.F. Part A Budgetary Impacts Related to Recently Enacted State Legislation Schedule

87th Regular Session, Fiscal Year 2022 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/18/2021
TIME: 11:28:16AM

Agency code: 356

Agency name: Texas Ethics Commission

		Exp 2021	Bud 2022	Est 2023	Est 2024	Est 2025
Expanded or New Initiative:						
1.Commission to redact any information relating to a “family member” of certain judges from a campaign finance report or publicly available personal financial statement.						
Legal Authority for Item:						
SB1134 (87R)						
Description/Key Assumptions (including start up/implementation costs and ongoing costs):						
This bill may require the development of a method to cross-check addresses and locate individuals at the same residence as a known judge, which would require both changes to software and potentially additional staff at a cost of up to \$100,000. The estimated cost to the state over a five year span totals \$385,050.						
State Budget by Program:	Information Resources					
IT Component:	Yes					
Involve Contracts > \$50,000:	Yes					
Objects of Expense						
Strategy: 2-1-2 INFORMATION RESOURCES						
1001	SALARIES AND WAGES	\$0	\$67,010	\$67,010	\$67,010	\$67,010
2009	OTHER OPERATING EXPENSE	\$0	\$50,000	\$0	\$0	\$0
SUBTOTAL, Strategy 2-1-2		\$0	\$117,010	\$67,010	\$67,010	\$67,010
TOTAL, Objects of Expense		\$0	\$117,010	\$67,010	\$67,010	\$67,010
Method of Financing						
GENERAL REVENUE FUNDS						
Strategy: 2-1-2 INFORMATION RESOURCES						
1	General Revenue Fund	\$0	\$117,010	\$67,010	\$67,010	\$67,010
SUBTOTAL, Strategy 2-1-2		\$0	\$117,010	\$67,010	\$67,010	\$67,010
SUBTOTAL, GENERAL REVENUE FUNDS		\$0	\$117,010	\$67,010	\$67,010	\$67,010
TOTAL, Method of Financing		\$0	\$117,010	\$67,010	\$67,010	\$67,010
FULL-TIME-EQUIVALENT POSITIONS (FTE)						
Strategy: 2-1-2 INFORMATION RESOURCES		0.0	1.0	1.0	1.0	1.0
TOTAL FTES		0.0	1.0	1.0	1.0	1.0

Description of IT Component Included in New or Expanded Initiative:

4.F. Part A Budgetary Impacts Related to Recently Enacted State Legislation Schedule

87th Regular Session, Fiscal Year 2022 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/18/2021
TIME: 11:28:16AM

Agency code: 356

Agency name: Texas Ethics Commission

Exp 2021 Bud 2022 Est 2023 Est 2024 Est 2025

The changes in law proposed by this bill create numerous practical problems for the agency.

Unlike the statute as it exists now, as amended by the bill there's no requirement that anyone tell us anything about these adult children (please compare to Section 15.0215, Election Code). Spouses are publicly disclosed in a variety of ways but there is no mechanism to determine whether an adult child lives with a judge, and what their name is. Accordingly, this bill requires us to devise a method to cross-check addresses and locate individuals at the same residence as a known judge, which would require both changes to software and an additional staff.

Is this IT component a New or Current Project? New

FTEs related to IT Component?

Exp 2021	Bud 2022	Est 2023	Est 2024	Est 2025
0.0	1.0	1.0	1.0	1.0

Proposed Software:

Enhancements to current filing software.

Proposed Hardware:

No new acquisition.

Development Cost and Other Costs:

Therefore we are estimating a cost of \$117,010 for the initial fiscal year and \$67,010 each additional year, or \$385,050 over a five year span. Due to software enhancement necessary to comply with this bill, the Commission would not be able to meet the September 1, 2021 effective date.

Type of Project:

Application Remediation

Estimated IT Cost:

Exp 2021	Bud 2022	Est 2023	Est 2024	Est 2025	Total Over Life of Project
\$0	\$117,010	\$67,010	\$67,010	\$67,010	\$318,040

Contract Description:

SB1134 would have a \$385,050 fiscal impact on the Texas Ethics Commission.

The changes in law proposed by this bill creates numerous practical problems for the agency.

Unlike the statute as it exists now, as amended by the bill there is no requirement that anyone tell us anything about these adult children (please compare to Section 15.0215, Election Code). Spouses are publicly disclosed in a variety of ways but there is no mechanism to determine whether an adult child lives with a judge, and what their name is. Accordingly, this bill requires us to devise a method to cross-check addresses and locate individuals at the same residence as a known judge, which would require both changes to software and an additional staff. Therefore we are estimating a cost of \$117,010 for the initial fiscal year and \$67,010 each additional year, or \$385,050 over a five year span. Due to software enhancement necessary to comply with this bill, the Commission would not be able to meet the September 1, 2021 effective date.

Approximate Percentage of Expanded or New Initiative Contracted in FYs 2022-23: 100.0%

4.F. Part A Budgetary Impacts Related to Recently Enacted State Legislation Schedule

87th Regular Session, Fiscal Year 2022 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/18/2021

TIME: 11:28:16AM

Agency code: 356

Agency name: Texas Ethics Commission

	Exp 2021	Bud 2022	Est 2023	Est 2024	Est 2025
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Expanded or New Initiative: 2.Ensure that campaign finance reports require a filer to report expenditures made by credit card in a single itemized list.

Legal Authority for Item:
SB1761 (87R)

Description/Key Assumptions (including start up/implementation costs and ongoing costs):

Will require a change in the forms adopted by the commission and conforming filing software changes, and may require rulemaking.

State Budget by Program: Information Resources

IT Component: Yes

Involve Contracts > \$50,000: No

Description of IT Component Included in New or Expanded Initiative:

The work entails the design, development, and testing of the addition of new fields to the campaign finance database, modification of the campaign finance filing application navigation data entry pages, modification of the report import functionality, modification of the PDF templates and rendering algorithms, modification of the downloadable database, and coding to allow corrections to Schedule F4 for reports filed prior to this code implementation.

Is this IT component a New or Current Project? New

FTEs related to IT Component?

Exp 2021	Bud 2022	Est 2023	Est 2024	Est 2025
0.0	0.0	0.0	0.0	0.0

Proposed Software:

Enhancements to current filing software.

Proposed Hardware:

No new acquisition.

Development Cost and Other Costs:

This change will require a change in the forms adopted by the commission and conforming filing software changes, and may require rulemaking.

Type of Project:

Application Remediation

Estimated IT Cost:

Exp 2021	Bud 2022	Est 2023	Est 2024	Est 2025	Total Over Life of Project
\$0	\$0	\$0	\$0	\$0	\$0

4.F. Part B Summary of Costs Related to Recently Enacted State Legislation Schedule
87th Regular Session, Fiscal Year 2022 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

DATE: **11/18/2021**
TIME: **11:29:33AM**

Agency code: **356** Agency name: **Texas Ethics Commission**

ITEM	EXPANDED OR NEW INITIATIVE	Exp 2021	Bud 2022	Est 2023	Est 2024	Est 2025
1	Commission to redact any information relating to a “family member” of certain judges from a campaign finance report or publicly available personal financial statement.	\$0	\$117,010	\$67,010	\$67,010	\$67,010
2	Ensure that campaign finance reports require a filer to report expenditures made by credit card in a single itemized list.					
Total, Cost Related to Expanded or New Initiatives		\$0	\$117,010	\$67,010	\$67,010	\$67,010
METHOD OF FINANCING						
	GENERAL REVENUE FUNDS	\$0	\$117,010	\$67,010	\$67,010	\$67,010
Total, Method of Financing		\$0	\$117,010	\$67,010	\$67,010	\$67,010
FULL-TIME-EQUIVALENTS (FTES):		0.0	1.0	1.0	1.0	1.0