

**Operating Budget
for Fiscal Year 2014**

**Submitted to the
Governor's Office of Budget, Planning and Policy
and the Legislative Budget Board**

By

Texas Ethics Commission

December 1, 2013

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II.A. SUMMARY OF BUDGET BY STRATEGY
 83rd Regular Session, Fiscal Year 2014 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : 11/25/2013
 TIME : 10:55:24AM

Agency code: 356 Agency name: Texas Ethics Commission

Goal/Objective/STRATEGY	EXP 2012	EXP 2013	BUD 2014
1 Administer Public Disclosure/Ethics Laws			
1 <i>Access Required Reports; Respond to Advisory Reqs & Sworn Complaints</i>			
1 DISCLOSURE FILING	\$386,185	\$362,066	\$393,800
2 ENFORCEMENT	\$417,451	\$436,114	\$440,750
3 LEGAL GUIDANCE AND OPINIONS	\$404,031	\$428,424	\$448,979
TOTAL, GOAL 1	\$1,207,667	\$1,226,604	\$1,283,529
2 Indirect Administration			
1 <i>Indirect Administration</i>			
1 CENTRAL ADMINISTRATION	\$332,629	\$334,804	\$342,245
2 INFORMATION RESOURCES	\$397,356	\$388,372	\$3,877,879
TOTAL, GOAL 2	\$729,985	\$723,176	\$4,220,124

II.A. SUMMARY OF BUDGET BY STRATEGY
 83rd Regular Session, Fiscal Year 2014 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE : 11/25/2013
 TIME : 10:56:23AM

Agency code: 356 Agency name: Texas Ethics Commission

Goal/Objective/STRATEGY	EXP 2012	EXP 2013	BUD 2014
General Revenue Funds:			
1 General Revenue Fund	\$1,920,420	\$1,923,813	\$5,495,463
	\$1,920,420	\$1,923,813	\$5,495,463
Other Funds:			
666 Appropriated Receipts	\$17,232	\$25,967	\$8,190
	\$17,232	\$25,967	\$8,190
TOTAL, METHOD OF FINANCING	\$1,937,652	\$1,949,780	\$5,503,653
FULL TIME EQUIVALENT POSITIONS	32.6	31.8	36.0

II.B. SUMMARY OF BUDGET BY METHOD OF FINANCE
 83rd Regular Session, Fiscal Year 2014 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/25/2013
 TIME: 10:57:06AM

Agency code: 356 Agency name: Texas Ethics Commission

METHOD OF FINANCING	Exp 2012	Exp 2013	Bud 2014
<u>GENERAL REVENUE</u>			
<u>1</u> General Revenue Fund			
<i>REGULAR APPROPRIATIONS</i>			
Regular Appropriations from MOF Table (2012-13 GAA)	\$1,737,032	\$1,737,032	\$0
Regular Appropriations from MOF Table (2014-15 GAA)	\$0	\$0	\$5,476,272
<i>RIDER APPROPRIATION</i>			
Rider 3 - Contingent Revenue - Lobby Registration Fees	\$187,500	\$187,500	\$0
<i>TRANSFERS</i>			
Art IX, Sec 17.06 Salary Increase for General State Employees (2014-15 GAA)	\$0	\$0	\$19,191
<i>LAPSED APPROPRIATIONS</i>			
Lapsed Appropriations	\$(4,112)	\$(719)	\$0
TOTAL, General Revenue Fund	\$1,920,420	\$1,923,813	\$5,495,463
TOTAL, ALL GENERAL REVENUE	\$1,920,420	\$1,923,813	\$5,495,463

OTHER FUNDS

666 Appropriated Receipts

REGULAR APPROPRIATIONS

Regular Appropriations from MOF Table (2012-13 GAA)	\$8,190	\$8,190	\$8,190
Regular Appropriations from MOF Table (2014-15 GAA)	\$0	\$0	\$0

BASE ADJUSTMENT

Art IX, Sec 8.03 Excess Collected Revenue Posted	\$9,042	\$17,777	\$0
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II.B. SUMMARY OF BUDGET BY METHOD OF FINANCE
 83rd Regular Session, Fiscal Year 2014 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/25/2013
 TIME: 10:57:13AM

Agency code: 356

Agency name: Texas Ethics Commission

METHOD OF FINANCING	Exp 2012	Exp 2013	Bud 2014
TOTAL, Appropriated Receipts	\$17,232	\$25,967	\$8,190
TOTAL, ALL OTHER FUNDS	\$17,232	\$25,967	\$8,190
GRAND TOTAL	\$1,937,652	\$1,949,780	\$5,503,653

FULL-TIME-EQUIVALENT POSITIONS

REGULAR APPROPRIATIONS	Exp 2012	Exp 2013	Bud 2014
Regular Appropriations from MOF Table (2012-13 GAA)	32.6	31.8	0.0
Regular Appropriations from MOF Table (2014-15 GAA)	0.0	0.0	36.0
TOTAL, ADJUSTED FTES	32.6	31.8	36.0
NUMBER OF 100% FEDERALLY FUNDED FTES	0.0	0.0	0.0

I.C. SUMMARY OF BUDGET BY OBJECT OF EXPENSE
83rd Regular Session, Fiscal Year 2014 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/25/2013
TIME: 10:57:58AM

Agency code: 356

Agency name: Texas Ethics Commission

OBJECT OF EXPENSE	EXP 2012	EXP 2013	BUD 2014
1001 SALARIES AND WAGES	\$1,684,266	\$1,716,315	\$1,736,816
1002 OTHER PERSONNEL COSTS	\$76,293	\$81,622	\$63,273
2001 PROFESSIONAL FEES AND SERVICES	\$6,981	\$3,418	\$26,500
2003 CONSUMABLE SUPPLIES	\$8,769	\$9,622	\$9,000
2004 UTILITIES	\$1,953	\$2,072	\$1,831
2005 TRAVEL	\$14,749	\$9,988	\$52,580
2006 RENT - BUILDING	\$1,598	\$1,738	\$11,755
2007 RENT - MACHINE AND OTHER	\$10,439	\$10,660	\$10,725
2009 OTHER OPERATING EXPENSE	\$132,604	\$114,345	\$91,173
5000 CAPITAL EXPENDITURES	\$0	\$0	\$3,500,000
Agency Total	\$1,937,652	\$1,949,780	\$5,503,653

II.D. SUMMARY OF BUDGET OBJECTIVE OUTCOMES
 83rd Regular Session, Fiscal Year 2014 Operating Budget
 Automated Budget and Evaluation system of Texas (ABEST)

Date : 11/25/2013
 Time: 10:58:37AM

Agency code: 356 Agency name: Texas Ethics Commission

Goal/ Objective / OUTCOME	Exp 2012	Exp 2013	Bud2014
1 Administer Public Disclosure/Ethics Laws			
1 <i>Access Required Reports; Respond to Advisory Reqs & Sworn Complaints</i>			
1 Percent of Reports Available for Public Inspection within Two Days	99.97 %	99.98 %	99.99 %
2 % of Sworn Complaints Processed within Five Working Days After Filing	99.39 %	100.00 %	100.00 %
KEY 3 Percent of Advisory Opinion Requests Answered within 60 Days	100.00 %	80.00 %	90.00 %

III.A. STRATEGY LEVEL DETAIL
 83rd Regular Session, Fiscal Year 2014 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/25/2013
 TIME: 10:59:10AM

Agency code: **356** Agency name: **Texas Ethics Commission**

GOAL: 1 Administer Public Disclosure/Ethics Laws
 OBJECTIVE: 1 Access Required Reports; Respond to Advisory Reqs & Sworn Complaints
 STRATEGY: 1 Serve as the Repository for Statutorily Required Information

Statewide Goal/Benchmark: 8 0
 Service Categories:
 Service: 02 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2012	EXP 2013	BUD 2014
Output Measures:				
KEY 1	Number of Reports Logged within Two Working Days of Receipt	31,561.00	32,145.00	32,500.00
2	Number of Reports Received and Processed	31,569.00	32,150.00	32,505.00
Explanatory/Input Measures:				
1	Number of Inquiries for Information	741,081.00	811,652.00	852,235.00
2	Number of Lobbyists Registered with the Commission	1,527.00	1,931.00	1,550.00
3	# of Public Officials Required to File Reports with the Commission	2,521.00	2,818.00	2,700.00
4	Number of Reports Due Filed Electronically	27,056.00	28,543.00	28,300.00
Objects of Expense:				
1001	SALARIES AND WAGES	\$337,869	\$320,324	\$356,938
1002	OTHER PERSONNEL COSTS	\$20,324	\$19,828	\$15,465
2001	PROFESSIONAL FEES AND SERVICES	\$168	\$150	\$150
2003	CONSUMABLE SUPPLIES	\$4,033	\$4,189	\$3,900
2004	UTILITIES	\$0	\$264	\$0
2005	TRAVEL	\$0	\$0	\$0
2006	RENT - BUILDING	\$280	\$366	\$380
2007	RENT - MACHINE AND OTHER	\$4,536	\$4,625	\$6,000
2009	OTHER OPERATING EXPENSE	\$18,975	\$12,320	\$10,967
5000	CAPITAL EXPENDITURES	\$0	\$0	\$0
TOTAL, OBJECT OF EXPENSE		\$386,185	\$362,066	\$393,800
Method of Financing:				
1	General Revenue Fund	\$368,953	\$336,099	\$385,610
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$368,953	\$336,099	\$385,610

III.A. STRATEGY LEVEL DETAIL
 83rd Regular Session, Fiscal Year 2014 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/25/2013
 TIME: 10:59:23AM

Agency code: **356** Agency name: **Texas Ethics Commission**

GOAL: 1 Administer Public Disclosure/Ethics Laws
 OBJECTIVE: 1 Access Required Reports; Respond to Advisory Reqs & Sworn Complaints
 STRATEGY: 1 Serve as the Repository for Statutorily Required Information

Statewide Goal/Benchmark: 8 0
 Service Categories:
 Service: 02 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2012	EXP 2013	BUD 2014
Method of Financing:				
	666 Appropriated Receipts	\$17,232	\$25,967	\$8,190
SUBTOTAL, MOF (OTHER FUNDS)		\$17,232	\$25,967	\$8,190
TOTAL, METHOD OF FINANCE :		\$386,185	\$362,066	\$393,800
FULL TIME EQUIVALENT POSITIONS :		8.7	8.0	9.0

III.A. STRATEGY LEVEL DETAIL
 83rd Regular Session, Fiscal Year 2014 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/25/2013
 TIME: 10:59:23AM

Agency code: **356** Agency name: **Texas Ethics Commission**

GOAL: 1 Administer Public Disclosure/Ethics Laws
 OBJECTIVE: 1 Access Required Reports; Respond to Advisory Reqs & Sworn Complaints
 STRATEGY: 2 Respond to Complaints and Enforce Applicable Statutes

Statewide Goal/Benchmark: 8 0
 Service Categories:
 Service: 01 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2012	EXP 2013	BUD 2014
Output Measures:				
	1 Number of Sworn Complaints Recommended for Resolution	172.00	131.00	152.00
	2 # of Sworn Complaints Processed within Five Working Days after Filing	324.00	251.00	350.00
KEY	3 Number of Sworn Complaints Processed	326.00	251.00	350.00
	4 Number of Late Notices Sent to Filers	2,126.00	1,994.00	2,000.00
Efficiency Measures:				
KEY	1 Average Time (Working Days) to Respond to Sworn Complaints	4.07	4.39	4.06
	2 Average Time to Notify Late Filer of Late Report	10.63	9.03	9.00
	3 Avg Time to Notify Complainants & Respondents/Commission Determination	5.00	5.00	5.00
Explanatory/Input Measures:				
	1 Number of Sworn Complaints Received	326.00	247.00	350.00
	2 Percent of Filers Filing Timely Reports	95.05 %	96.16 %	96.00 %
	3 Number of Late Penalties Received	432.00	501.00	400.00
	4 Percent of Sworn Complaints Resolved within 180 Days	64.56 %	62.41 %	63.00 %
Objects of Expense:				
	1001 SALARIES AND WAGES	\$375,662	\$395,528	\$390,083
	1002 OTHER PERSONNEL COSTS	\$17,166	\$19,119	\$16,010
	2001 PROFESSIONAL FEES AND SERVICES	\$1,282	\$250	\$498
	2003 CONSUMABLE SUPPLIES	\$1,225	\$1,633	\$1,500
	2004 UTILITIES	\$197	\$174	\$189
	2005 TRAVEL	\$5,006	\$3,476	\$10,300
	2006 RENT - BUILDING	\$6	\$0	\$0
	2007 RENT - MACHINE AND OTHER	\$2,154	\$2,205	\$3,675
	2009 OTHER OPERATING EXPENSE	\$14,753	\$13,729	\$18,495
	5000 CAPITAL EXPENDITURES	\$0	\$0	\$0

III.A. STRATEGY LEVEL DETAIL
 83rd Regular Session, Fiscal Year 2014 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/25/2013
 TIME: 10:59:23AM

Agency code: **356** Agency name: **Texas Ethics Commission**

GOAL: 1 Administer Public Disclosure/Ethics Laws
 OBJECTIVE: 1 Access Required Reports; Respond to Advisory Reqs & Sworn Complaints
 STRATEGY: 2 Respond to Complaints and Enforce Applicable Statutes

Statewide Goal/Benchmark: 8 0
 Service Categories:
 Service: 01 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2012	EXP 2013	BUD 2014
TOTAL, OBJECT OF EXPENSE		\$417,451	\$436,114	\$440,750
Method of Financing:				
1 General Revenue Fund		\$417,451	\$436,114	\$440,750
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$417,451	\$436,114	\$440,750
TOTAL, METHOD OF FINANCE :		\$417,451	\$436,114	\$440,750
FULL TIME EQUIVALENT POSITIONS :		7.0	7.0	7.0

III.A. STRATEGY LEVEL DETAIL
 83rd Regular Session, Fiscal Year 2014 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/25/2013
 TIME: 10:59:23AM

Agency code: **356** Agency name: **Texas Ethics Commission**

GOAL: 1 Administer Public Disclosure/Ethics Laws
 OBJECTIVE: 1 Access Required Reports; Respond to Advisory Reqs & Sworn Complaints
 STRATEGY: 3 Respond to Requests for Guidance/Advisory Opinions

Statewide Goal/Benchmark: 8 0
 Service Categories:
 Service: 01 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2012	EXP 2013	BUD 2014
Output Measures:				
	1 Number of Advisory Opinions Adopted by the Commission	6.00	10.00	10.00
Efficiency Measures:				
KEY	1 Average Time (Working Days) to Answer Advisory Opinion Requests	27.83	39.70	30.00
Explanatory/Input Measures:				
	1 Number of Advisory Opinion Requests Received	6.00	10.00	10.00
Objects of Expense:				
	1001 SALARIES AND WAGES	\$355,883	\$382,005	\$368,382
	1002 OTHER PERSONNEL COSTS	\$11,214	\$14,219	\$12,491
	2001 PROFESSIONAL FEES AND SERVICES	\$1,923	\$375	\$146
	2003 CONSUMABLE SUPPLIES	\$1,837	\$2,449	\$2,300
	2004 UTILITIES	\$295	\$261	\$283
	2005 TRAVEL	\$7,508	\$5,214	\$40,000
	2006 RENT - BUILDING	\$10	\$0	\$10,000
	2007 RENT - MACHINE AND OTHER	\$3,231	\$3,308	\$525
	2009 OTHER OPERATING EXPENSE	\$22,130	\$20,593	\$14,852
	5000 CAPITAL EXPENDITURES	\$0	\$0	\$0
	TOTAL, OBJECT OF EXPENSE	\$404,031	\$428,424	\$448,979
Method of Financing:				
	1 General Revenue Fund	\$404,031	\$428,424	\$448,979
	SUBTOTAL, MOF (GENERAL REVENUE FUNDS)	\$404,031	\$428,424	\$448,979
	TOTAL, METHOD OF FINANCE :	\$404,031	\$428,424	\$448,979
	FULL TIME EQUIVALENT POSITIONS :	5.9	6.0	6.0

III.A. STRATEGY LEVEL DETAIL
 83rd Regular Session, Fiscal Year 2014 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/25/2013
 TIME: 10:59:23AM

Agency code: **356** Agency name: **Texas Ethics Commission**

GOAL: 2 Indirect Administration
 OBJECTIVE: 1 Indirect Administration
 STRATEGY: 1 Central Administration

Statewide Goal/Benchmark: 8 0
 Service Categories:
 Service: 09 Income: A.2 Age: B.3

CODE	DESCRIPTION	EXP 2012	EXP 2013	BUD 2014
Objects of Expense:				
1001	SALARIES AND WAGES	\$303,767	\$308,720	\$297,063
1002	OTHER PERSONNEL COSTS	\$11,619	\$10,460	\$7,745
2001	PROFESSIONAL FEES AND SERVICES	\$3,495	\$2,530	\$25,593
2003	CONSUMABLE SUPPLIES	\$753	\$608	\$585
2004	UTILITIES	\$1,461	\$1,373	\$1,359
2005	TRAVEL	\$2,235	\$1,298	\$2,280
2006	RENT - BUILDING	\$0	\$0	\$0
2007	RENT - MACHINE AND OTHER	\$233	\$235	\$425
2009	OTHER OPERATING EXPENSE	\$9,066	\$9,580	\$7,195
5000	CAPITAL EXPENDITURES	\$0	\$0	\$0
TOTAL, OBJECT OF EXPENSE		\$332,629	\$334,804	\$342,245
Method of Financing:				
1	General Revenue Fund	\$332,629	\$334,804	\$342,245
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$332,629	\$334,804	\$342,245
TOTAL, METHOD OF FINANCE :		\$332,629	\$334,804	\$342,245
FULL TIME EQUIVALENT POSITIONS :		5.0	5.0	6.0

III.A. STRATEGY LEVEL DETAIL
 83rd Regular Session, Fiscal Year 2014 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/25/2013
 TIME: 10:59:23AM

Agency code: **356** Agency name: **Texas Ethics Commission**

GOAL: 2 Indirect Administration
 OBJECTIVE: 1 Indirect Administration
 STRATEGY: 2 Information Resources

Statewide Goal/Benchmark: 8 0
 Service Categories:
 Service: 09 Income: NA Age: NA

CODE	DESCRIPTION	EXP 2012	EXP 2013	BUD 2014
Objects of Expense:				
1001	SALARIES AND WAGES	\$311,085	\$309,738	\$324,350
1002	OTHER PERSONNEL COSTS	\$15,970	\$17,996	\$11,562
2001	PROFESSIONAL FEES AND SERVICES	\$113	\$113	\$113
2003	CONSUMABLE SUPPLIES	\$921	\$743	\$715
2004	UTILITIES	\$0	\$0	\$0
2005	TRAVEL	\$0	\$0	\$0
2006	RENT - BUILDING	\$1,302	\$1,372	\$1,375
2007	RENT - MACHINE AND OTHER	\$285	\$287	\$100
2009	OTHER OPERATING EXPENSE	\$67,680	\$58,123	\$39,664
5000	CAPITAL EXPENDITURES	\$0	\$0	\$3,500,000
TOTAL, OBJECT OF EXPENSE		\$397,356	\$388,372	\$3,877,879
Method of Financing:				
1	General Revenue Fund	\$397,356	\$388,372	\$3,877,879
SUBTOTAL, MOF (GENERAL REVENUE FUNDS)		\$397,356	\$388,372	\$3,877,879
TOTAL, METHOD OF FINANCE :		\$397,356	\$388,372	\$3,877,879
FULL TIME EQUIVALENT POSITIONS :		6.0	5.8	8.0

III.A. STRATEGY LEVEL DETAIL
83rd Regular Session, Fiscal Year 2014 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/25/2013
TIME: 10:59:23AM

SUMMARY TOTALS :

OBJECTS OF EXPENSE:	\$1,937,652	\$1,949,780	\$5,503,653
METHODS OF FINANCE :	\$1,937,652	\$1,949,780	\$5,503,653
FULL TIME EQUIVALENT POSITIONS :	32.6	31.8	36.0

IV.A. CAPITAL BUDGET PROJECT SCHEDULE
 83rd Regular Session, Fiscal Year 2014 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **11/26/2013**
 TIME: **2:34:22PM**

Agency code: **356**

Agency name: **Texas Ethics Commission**

Category Code / Category Name

Project Sequence/Project Id/ Name

OOE / TOF / MOF CODE

EXP 2012

EXP 2013

BUD 2014

5005 Acquisition of Information Resource Technologies

1/1 Electronic Filing Software System

OBJECTS OF EXPENSE

Capital

5000 CAPITAL EXPENDITURES

\$0

\$0

\$3,500,000

Capital Subtotal OOE, Project 1

\$0

\$0

\$3,500,000

Informational

1001 SALARIES AND WAGES

\$48,374

\$70,100

\$317,512

Informational Subtotal OOE, Project 1

\$48,374

\$70,100

\$317,512

Subtotal OOE, Project 1

\$48,374

\$70,100

\$3,817,512

TYPE OF FINANCING

Capital

CA 1 General Revenue Fund

\$0

\$0

\$3,500,000

Capital Subtotal TOF, Project 1

\$0

\$0

\$3,500,000

Informational

CA 1 General Revenue Fund

\$48,374

\$70,100

\$317,512

Informational Subtotal TOF, Project 1

\$48,374

\$70,100

\$317,512

Subtotal TOF, Project 1

\$48,374

\$70,100

\$3,817,512

Capital Subtotal, Category 5005

\$0

\$0

\$3,500,000

Informational Subtotal, Category 5005

\$48,374

\$70,100

\$317,512

Total, Category 5005

\$48,374

\$70,100

\$3,817,512

AGENCY TOTAL -CAPITAL

\$0

\$0

\$3,500,000

AGENCY TOTAL -INFORMATIONAL

\$48,374

\$70,100

\$317,512

IV.A. CAPITAL BUDGET PROJECT SCHEDULE
 83rd Regular Session, Fiscal Year 2014 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: **11/26/2013**
 TIME: **2:34:26PM**

Agency code: **356**

Agency name: **Texas Ethics Commission**

Category Code / Category Name

Project Sequence/Project Id/ Name

OOE / TOF / MOF CODE

EXP 2012

EXP 2013

BUD 2014

AGENCY TOTAL

\$48,374

\$70,100

\$3,817,512

METHOD OF FINANCING:

Capital

1 General Revenue Fund

\$0

\$0

\$3,500,000

Total, Method of Financing-Capital

\$0

\$0

\$3,500,000

Informational

1 General Revenue Fund

\$48,374

\$70,100

\$317,512

Total, Method of Financing-Informational

\$48,374

\$70,100

\$317,512

Total, Method of Financing

\$48,374

\$70,100

\$3,817,512

TYPE OF FINANCING:

Capital

CA CURRENT APPROPRIATIONS

\$0

\$0

\$3,500,000

Total, Type of Financing-Capital

\$0

\$0

\$3,500,000

Informational

CA CURRENT APPROPRIATIONS

\$48,374

\$70,100

\$317,512

Total, Type of Financing-Informational

\$48,374

\$70,100

\$317,512

Total, Type of Financing

\$48,374

\$70,100

\$3,817,512

CAPITAL BUDGET ALLOCATION TO STRATEGIES
 83rd Regular Session, Fiscal Year 2014 Operating Budget
 Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/26/2013
 TIME: 2:40:20PM

Agency code: 356 Agency name: Texas Ethics Commission

Category Code/Name

Project Sequence/Project Id/Name

Goal/Obj/Str	Strategy Name	EXP 2012	EXP 2013	BUD 2014
5005 Acquisition of Information Resource Technologies				
<i>1/1</i>	<i>Electronic Filing Software System</i>			
Capital	2-1-2 INFORMATION RESOURCES	0	0	\$3,500,000
Informational	2-1-2 INFORMATION RESOURCES	48,374	70,100	317,512
	TOTAL, PROJECT	\$48,374	\$70,100	\$3,817,512
	TOTAL CAPITAL, ALL PROJECTS	\$0	\$0	\$3,500,000
	TOTAL INFORMATIONAL, ALL PROJECTS	\$48,374	\$70,100	\$317,512
	TOTAL, ALL PROJECTS	\$48,374	\$70,100	\$3,817,512

IV.D. ESTIMATED REVENUE COLLECTIONS SUPPORTING SCHEDULE

83rd Regular Session, Fiscal Year 2014 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/25/2013
TIME: 11:00:44AM

Agency Code: **356**

Agency name: **Texas Ethics Commission**

FUND/ACCOUNT	Exp 2012	Exp 2013	Bud 2014
1 General Revenue Fund			
Beginning Balance (Unencumbered):	\$1,493,550	\$2,240,400	\$3,182,100
Estimated Revenue:			
3175 Professional Fees	934,350	1,129,200	935,000
Subtotal: Estimated Revenue	<u>934,350</u>	<u>1,129,200</u>	<u>935,000</u>
Total Available	<u>\$2,427,900</u>	<u>\$3,369,600</u>	<u>\$4,117,100</u>
DEDUCTIONS:			
Rider 3, Contingent Rev. Lobby Fees	(187,500)	(187,500)	0
Total, Deductions	<u>\$(187,500)</u>	<u>\$(187,500)</u>	<u>\$0</u>
Ending Fund/Account Balance	<u>\$2,240,400</u>	<u>\$3,182,100</u>	<u>\$4,117,100</u>

REVENUE ASSUMPTIONS:

The number of lobby registrations varies based on session and non-session years. The even (non-session) years historically have a lower number of registrations than the odd (session) years. The FY 2012 revenue received was the first year of the lobby fees at the increased levels approved by the 82nd Legislature. The FY 2014 estimated lobby fee revenue is based on the amount received in the last even-number year and is very close to the amount projected in the Comptroller's Biennial Revenue Estimate.

The carry over (beginning) balance is not appropriated to the agency, except for the amount of \$187,500 in each year which was appropriated to the agency as specified in Rider 3 to the agency's appropriations for the FY 2012-13 biennium. For FY 2014, the amount of \$187,500 previously appropriated as a rider, is now included in the agency's baseline and thus included in the Regular Appropriation from the GAA. All lobby registration revenue, as well as the civil fines we collect, is swept by the Comptroller on a quarterly basis and is deposited into the General Revenue Fund.

CONTACT PERSON:

Mike McElhaney

IV.D. ESTIMATED REVENUE COLLECTIONS SUPPORTING SCHEDULE

83rd Regular Session, Fiscal Year 2014 Operating Budget
Automated Budget and Evaluation System of Texas (ABEST)

DATE: 11/25/2013
TIME: 11:00:54AM

Agency Code: 356

Agency name: Texas Ethics Commission

FUND/ACCOUNT	Exp 2012	Exp 2013	Bud 2014
666 Appropriated Receipts			
Beginning Balance (Unencumbered):	\$0	\$0	\$0
Estimated Revenue:			
3719 Fees/Copies or Filing of Records	17,232	25,967	8,190
Subtotal: Estimated Revenue	<u>17,232</u>	<u>25,967</u>	<u>8,190</u>
Total Available	<u>\$17,232</u>	<u>\$25,967</u>	<u>\$8,190</u>
DEDUCTIONS:			
Expenditures for Agency Operations	(17,232)	(25,967)	(8,190)
Total, Deductions	<u>\$(17,232)</u>	<u>\$(25,967)</u>	<u>\$(8,190)</u>
Ending Fund/Account Balance	<u>\$0</u>	<u>\$0</u>	<u>\$0</u>

REVENUE ASSUMPTIONS:

Appropriated Receipts revenue is comprised of the amounts charged to customers for providing copies of information maintained by the agency (i.e., paper copies of reports filed with the agency or disks containing information from agency databases). The agency has no control over the number, size, or amounts of copy orders that will be requested.

The budgeted copy order revenue for FY 2014 is the LBB approved amount of \$8,190. This has been the initial amount appropriated for several years and is set at this level due to the difficulty in forecasting this revenue which varies greatly from year to year.

CONTACT PERSON:

Mike McElhaney